Number: WG28856



Consultation – Index of responses

Ymgynghoriad – Mynegai o ymatebion

Appeals, costs and standard daily amounts

Apeliadau, costau a symiau dyddiol safonol

March 2017

Mawrth 2017

# Index of responses / Mynegai o ymatebion

## Introduction / Cyflwyniad

On 10 August 2016 the Welsh Government published a consultation paper which set out detailed proposals relating to reforms to the appeals and costs processes. It also consulted on revised standard daily amounts for hearings and inquiries into certain proceedings. The consultation closed on 4 November 2016.

Ar 10 Awst 2016, cyhoeddodd Llywodraeth Cymru bapur ymgynghori lle nodwyd cynigion manwl sy'n ymwneud â newidiadau i'r prosesau apeliadau a chostau. Hefyd, yngynghorodd y papur ar ddiwygiadau i'r symiau dyddiol safonol ar gyfer gwrandawiadau ac ymchwiliadau i achosion penodol. Daeth yr ymgynghoriad i ben ar 4 Tachwedd 2016.

## **Responses / Ymatebion**

Details of the consultation have been published here:

https://consultations.gov.wales/consultations/appeals-costs-and-standard-daily-amounts

A total of 23 responses were received. Respondents were asked to complete a separate response form. All responses were received by email. Of the responses, 20 were received on the response form. Each response was assigned a specific reference number.

Cyhoeddwyd manylion yr ymgynghoriad yma:

https://ymgyngoriadau.llyw.cymru/ymgyngoriadau/apeliadau-costau-symiau-dyddiol-safonol

Derbyniwyd cyfanswm o 23 o ymatebion. Gofynnwyd i ymatebwyr lenwi ffurflen ymateb ar wahân. Derbyniwyd yr holl ymatebion drwy e-bost. O'r ymatebion, derbyniwyd 20 ar y ffurflen ymateb. Mae pob ymateb wedi ei neilltuo â chyfeirnod penodol.

# Data Protection / Diogelu data

For data protection purposes, the names and address details for those respondents who did not wish to be identified have been removed from the index below and from the published consultation responses.

Ar gyfer dibenion diogelu data, tynnwyd manylion o enwau a chyfieriadau y rhai nad oeddent yn dymuno cael eu enwi oddi ar y mynegai isod ac o'r ymatebion i'r ymgynghoriad a gyhoeddwyd.

# Index of Responses / Mynegai o Ymatebion

	Businesses / Planning Consultants Busnesau/ Ymgynghorwyr Cynllunio	Local Planning Authority / Awdurdod Cynllunio Lleol		
016	Redrow Homes	002	Merthyr Tydfil	
017	Innogy Renewables UK Ltd	003	National Parks Wales	
	Government Agency / Other Public Sector Asiantaeth y Llywodraeth / Corff Sector Cyhoeddus Arall		Gwynedd	
001	The Coal Authority	005	Caerphilly	
006	The Health and Safety Executive	007	Newport	
008	Natural Resources Wales	011	Anonymous / Dienw	
019	Penarth Town Council	014	Anonymous/ Dienw	
	Professional Bodies / Interest Groups yrff Proffesiynol / Grwpiau â Diddordeb	015	Bridgend	
012	RTPI Cymru	018	Vale of Glamorgan	
020	The Law Society	022	Flintshire	
021	Home Builders Federation	023	Neath Port Talbot	
	Other Arall	Voluntary Sector Sector Gwirfoddol		
009	CLA Cymru		None	
010	The Canal and River Trust in Wales	_		
013	Persimmon Homes			

	Appeals, costs and standard daily amounts					
Date of c	onsultation period: 10 August 2016 – 4 November 2016					
Name	Mr Mark Harrison					
Organisation	The Coal Authority					
Address	200 Lichfield Lane, Berry Hill, Mansfield, NG18 4RG					
E-mail address	planningconsultation@coal.gov.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comr	nents:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	nents:					
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	nents:					
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	Comments:					

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:		Ш		
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	Comments:				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No			
Comr	Comments:						
3.0	Costs						
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No			
Comp	nents:						
Com	nents.						
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No			
	grounds.						
Comr	Comments:						

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
Comr	nents:			
4.0	Standard Daily Amounts of Certain Proceedin	ıgs		
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No
	nents: omment			

Consultation Reference: WG28856

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No		
Comments: No Comment						
I do n	I do not want my name/or address published with my response (please tick)					

# **How to Respond**

Please submit your comments in any of the following ways:

Email
Please complete the consultation form and send it to :
planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post
Please complete the consultation form and send it to:  Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ
Additional information
If you have any queries on this consultation, please
Email: planconsultations-g@wales.gsi.gov.uk
Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts						
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016					
Name	Judith Jones					
Organisation	Merthyr Tydfil County Borough Council					
Address	Planning & Countryside Division, Unit 5, Triangle Business Park, Pentrebach, Merthyr Tydfil, CF48 4TQ					
E-mail address	judith.jones@merthyr.gov.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comr	nents:			

If LPA	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?  ments: A's have efficient systems in place for record reures for staff leave, 5 days for return of the quarter.	•	_	-
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comments:				
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comp	nonto:			
Con	nents:			

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments:					

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	nents:					
3.0	Costs					
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	nents:					
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No		
	grounds.					
Comr	Comments:					

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No		
Comp	nents:					
Comin	nents.					
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No		
	4.0 Standard Daily Amounts of Certain Proceedings					
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No		
Comn	nents:					

Consultation Reference: WG28856

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No	
Comn	Comments:				
I do not want my name/or address published with my response (please tick) 🗌					

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[Please include 'Appeals, costs and standard daily amounts' in the subject line]
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Please complete the consultation form and send it to:  Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ
Additional information
If you have any queries on this consultation, please
Email: planconsultations-g@wales.gsi.gov.uk
Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts					
Date of c	onsultation period: 10 August 2016 – 4 November 2016					
Name	Nicola Gandy					
Organisation	National Parks Wales					
Address	Llanion Park Pembroke Dock Pembrokeshire SA72 6DY					
E-mail address	nicolag@pembrokeshirecoast.org.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority	$\boxtimes$				
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
- The subm rema the L A 6 w Plant writte would the c - The state focus	National Park Authorities (NPA) consider that ission of statement of case by the Local Planrin at 6 weeks. This allows for sufficent time to PA case and to properly respond to the appel week submission period is not considered unreading Inspectorate a period of 6 weeks to make en representations and longer with other produce the in-line with the proposed call-in proceduron sultation document.  NPAs welcome the requirement for the appearent from the submission. The NPAs will be insed statement.  Deara 2.25 a clear understanding of what is meant ances, as this could be misinterpreted and	ning Auth o provide lant's cas easonable a decision cedures. Ire as out llant to s n a positi	ority (LPA) showed an amplification of an amplification of and still allows on in the case of A 6 week periodined in para 2. Submit a full from to submit a full from the submit a full fr	on of the f od 28 of

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comments:				

Consultation Reference: WG28856

Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No	
	the proper maintenance of land? If not, why not?				
	Comments: The NPAs welcome this change.				

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

The time period for submitting the questionnaire should remain at 2 weeks due to limited staffing levels within LPAs. It is considered that continuing to allow for a 2 week period for the submission of the questionnaire would not have a significant impact on the overall time scales of the appeal.

A 4 week submission period will not provide the LPA with sufficent time to submit their statement given that the appeallant will be submitting their full case at the outset, which will result in additional work for the LPA as the statement will not only have to present the LPA case but also respond to the appellants case, as such, NPAs consider that the period for submitting the LPA statement should remain at 6 weeks.

The proposed two week period for submission of final comments is considered reasonable.

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
The Nobe as the a	ments: NPAs welcome the fact that Statements of Comstatutory requirement and consider this chang ppeal process. Ever, SoCG can be extremely useful at appeal fefore, be encouraged at any stage of an appeal	e will as or all pa	sist with speed	ing up

Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
The n	nents:  nixed mode examination should only be used i e process would create additional work for the ested parties and would be likely to significant ess.	LPA, c	onfusion for	ances

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per	Yes	Yes (subject to further comment)	No
	topic? If not, why not?			

Consult	ation Reference: WG28856			
Comr	nents:			
	NPAs agree with the proposed word limit , how ional representations should not affect the dec	,		
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Para applie the N	ments: response has been prepared in accord with the altation document and not the wording on this 2.72 of the consultation document states that cation in the form it was considered by the LPAPAS.  Ever, the exceptions at para 2.74 of the consultance as by allowing the exceptions this would rement's aim of making the appeal system tranvill it be a fair representation of an LPA's performance.	FINS will A, this contact action do achieved ach	nich repeats Q8  Il deal with an hange is welcor ocument raise seve the Welsh and access	ned by

Consultation Reference: WG28856

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	ments:			
3.0	Costs			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
The c spuri- to the again to the Howe justif	ments: objective of this proposed change is to ensure to be subjective of this proposed change is to ensure to ous appeals are not submitted and to recover the public purse. However, the NPAs fail to underst LPA will achieve the aim of recovering wast e public purse as such costs would also be paid ever, it may preclude applications being refuse fication.  NPAs consider that these costs should only be a seen a clear incident of unreasonable behaviour.	wasted a erstand ed and of l out of t ed witho	and unnecessary how placing cos unnecessary exp the public purse ut adequate	sts pense e.
			Ι	

grounds.

Q12

Do you agree with the grounds for unreasonable behaviour specified within the

draft updated guidance (at Annex C)? If not, please specify alternative or additional

Yes

further

(subject to

comment)

No

Yes

Consultation Reference: WG28856

### Comments:

The grounds for unreasonable behaviour cover most aspects and allow for additional circumstances by the caveat 'and not limited to'.

The proposed procedural examples for LPAs relating to refusing to co-operate and delaying in providing information requested by the Planning Inspectorate should also be added to the examples for appellants.

The proposed substantive example for LPAs 'Not determining or providing a position on a similar case in a consistent manner' should only be considered when there has been no material changes in circumstance.

The proposed example relating to introducing new grounds of appeal, evidence or relevant information late in the proceedings should be removed as this should no longer be permitted by the Planning Inspectorate as stated at para 2.72 of the consultation document.

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

In the case of all appeals, the application for costs from the appellant should be submitted alongside the full statement of case and the opportunity for appellants to make an application for costs at the hearing/inquiry should only be permitted in exceptional circumstances.

Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further	No	
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# Appeals, cost and standard daily amounts Consultation Reference: WG28856 comment) X Comments: The new legislation and accompanying guidance should clearly state whether the costs procedure also applies to appeals against conditions attached to planning permissions. 4.0 Standard Daily Amounts of Certain Proceedings Yes Do you agree with the amended method for Yes (subject to No Q15 charging daily amounts for local inquiries and further qualifying procedures? If not, why not? comment) $\boxtimes$ Comments: Yes (subject to Yes No Do you agree with the proposed standard daily Q16 further amounts at Annex D? If not, why not? comment) $\boxtimes$ Comments:

### **How to Respond**

I do not want my name/or address published with my response (please tick)

Consultation Reference: WG28856

Please submit your comments in any of the following ways:

#### **Email**

Please complete the consultation form and send it to:

planconsultations-g@wales.gsi.gov.uk

[Please include 'Appeals, costs and standard daily amounts' in the subject line]

#### **Post**

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ

#### Additional information

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Cyfeirnod yr Ymgynghoriad: WG28856

	Apeliadau, costau a symiau dyddiol safonol					
Dyddiad y	Dyddiad y cyfnod ymgynghori: 10 Awst 2016 – 4 Tachwedd 2016					
Enw	Gareth Jones, Uwch Reolwr Gwasanaeth Cynllunio, Amgylchedd a Gwarchod y Cyhoedd					
Sefydliad	Cyngor Gwynedd					
Cyfeiriad	Gwasanaeth Cynllunio, Ffordd y Cob, Pwllheli, Gwynedd. LL53 5AA					
Cyfeiriad e-bost	Cynllunio@gwynedd.llyw.cymru					
Math (dewiswch un o'r	Busnesau/Ymgynghorwyr Cynllunio					
canlynol)	Awdurdod Cynllunio Lleol					
	Asiantaeth y Llywodraeth/Corff Sector Cyhoeddus Arall					
	Cyrff Proffesiynol/Grwpiau â Diddordeb					
	Sector gwirfoddol (grwpiau cymunedol, gwirfoddolwyr, grwpiau hunangymorth, mentrau cydweithredol, mentrau cymdeithasol, crefyddol a sefydliadau nid er elw)					
	Arall (grwpiau eraill nas rhestrir uchod) neu unigolyn					

Cyfeirnod yr Ymgynghoriad: WG28856

# 2.0 Gweithdrefnau apelio a galw i mewn

C1	A ydych yn cytuno â'n cynigion gweithdrefnol ynghylch datganiadau achos llawn i'w gael eu gyflwyno gyda apêl yn y rhan fwyaf o amgylchiadau? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
Sylwa	adau:			
C2	A ydych yn cytuno â'r diffiniad o 'ddatganiad achos llawn' ym mharagraff 2.10? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
	yayon, pam:		$\boxtimes$	
ddogf	ymir fod y diffiniad yn 'holl ddogfennau' (all do fennau' (all documents) fel a nodir yn y ddogfe yn saesneg			
C3	A ydych yn cytuno â'n cynigion i alluogi Gweinidogion Cymru (neu'r Arolygiaeth Gynllunio) i bennu'r weithdrefn ar gyfer apeliadau yn erbyn hysbysiadau tramgwydd sylweddau peryglus ac apeliadau yn erbyn hysbysiadau sy'n ei gwneud yn ofynnol i	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
	gynnal a chadw tir yn ddiogel, a phenderfynu ar yr apeliadau hynny? Os nad ydych, pam?			
Sylwa	adau:			

Cyfeirnod yr Ymgynghoriad: WG28856

C4	A ydych yn cytuno â'n cynigion mewn perthynas â newidiadau i'r weithdrefn gychwynnol a'r weithdrefn a ddilynir gan bartïon wrth gyflwyno dogfennau craidd? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
		Ш		
a gyd Cwes	ir fod 5 diwrnod gwaith yn gyfnod rhy fyr gan f a llawer iawn o waith papur cefndir sydd ange tiynir felly os yw'r amserlen a awgrymir yn am mol a realistig	n ei atoo	di i'r wybodaeth	apel.
<b>C</b> 5	A ydych yn cytuno â'n cynigion ynghylch Datganiadau o Dir Cyffredin? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
Ystyr fform ACLL y fath	Sylwadau: Ystyrir fod angen gwneud yn glir pwy sydd yn arwain ar hwn, beth fyddai'r fformat safonol ayb. Credir y dylai'r apelydd arwain arno gyda mewnbwn gan yr ACLL ac mae hyn wedi digwydd yn llwyddiannus yn y gorffennol. Efallai na wnaiff y fath drefn sicrhau datrusiad ond gall atal trafodaeth diangen sydd yn ymwneud gyda materion sydd yn gyffredin neu a gytunwyd arnynt rhwng y partion.			
C6	A ydych yn cytuno â'n cynigion ynghylch yr archwiliad dull cymysg ar gyfer apeliadau ac achosion sydd wedi'u galw i mewn? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
Sylwa	ndau:			

yn gyson rhwng achosion ac yn dryloyw

Credir fod angen i'r ffordd mae hyn yn digwydd fod yn cyfateb â lefel yr achos,

Cyfeirno	od yr Ymgynghoriad: WG28856			
<b>C</b> 7	A ydych yn cytuno y dylid cyflwyno terfyn geiriau o 3,000 fesul pwnc ar gyfer cynrychioliadau pellach y mae'r Arolygydd yn	Ydw	Ydw (yn amodol ar sylwadau	Nac ydw
	gofyn amdanynt wrth archwilio apêl neu achos sydd wedi'i alw i mewn? Os nad ydych, pam?		pellach)	
Sylwa	adan.			
	tiynir os yw hyn yn yn hwyluso a symleiddio'r l	oroses?		
			Ydw	Nac
C8	A ydych yn cytuno â'r amgylchiadau lle gellir amrywio cais yn achos apêl? Os nad ydych, pam?	Ydw	(yn amodol ar sylwadau pellach)	ydw
Sylwa <b>Mae'r</b>	ndau: ramgylchiadau sydd wedi eu amlinellu yn amw	vs dros	ben ac fellv	
cwest	tiynir sut y gellir gweithredu y fath drefn yn gy ni ei werth o'i gymharu gyda'r drefn bresennol.	son ac y	yn deg ac hefyd	
_	nefyd yn berthnasol yma.	13cyi ii	rod yr ymateb i	<b>C</b> )
C9	A ydych yn cytuno â'r amgylchiadau lle gellir amrywio cais yn achos apêl? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw

Sylwadau:
Noder: mae'r cwestiwn hwn yn union fel C8 uchod yn y ferswin Gymraeg a'r Saesneg ond mae'n gywir yn y Ddogfen Ymgynghori sydd ar gael yn Saesneg yn unig.

Fel ateb i'r C9 cywir - cytunir gyda'r hyn sydd yn cael ei ofyn ond os yw ei angen ar gyfer cywirdeb yn hytrach na newid mwy sylweddol sydd yn ymwneud gydag egwyddor neu oresgyn sail y gwrthodiad. Pryder am sut y byddai hyn yn cael ei weithredu h.y. beth fyddai'r amserlen ar gyfer cyflwyno gwybodaeth newydd? Beth am waith a chostau dianghenrhaid i'r ACLL oherwydd gweinyddu'r apel? Angen rhoi mwy o ystyriaeth i sut mae hyn am weithio, pa mor ymarferol fyddai ac beth yw'r oblygiadau i'r holl bartion. Ystyrir fod yr ateb i C8 uchod hefyd yn berthnasol yma.

C10	A ydych yn cytuno â'n cynigion i gyflwyno terfyn amser o chwe mis ar gyfer apeliadau tystysgrif cyfreithlondeb yn erbyn penderfyniad gan ACLI? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw
Sylwa	Sylwadau:			

## 3.0 Costau

C11	A ydych yn cytuno y dylai Gweinidogion Cymru allu adennill eu costau eu hunain? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw

# Apeliadau, costau a symiau dyddiol safonol Cyfeirnod yr Ymgynghoriad: WG28856 Sylwadau: Rhaid i'r costau fod yn rhesymol ac fod prawf clir i'w cyfiawnhau. Rhaid i'r broses/drefn/dull o adennill fod yn gyson a thryloyw Ydw Nac A ydych yn cytuno â'r sail dros ymddygiad Ydw (yn amodol ar ydw afresymol a nodir yn y fersiwn ddrafft o'r sylwadau canllawiau wedi'u diweddaru (yn Atodiad C)? C12 pellach) Os nad ydych, nodwch seiliau amgen neu ychwanegol. $\square$ Sylwadau: Consyrn fod yr enghreifftiau sydd wedi ei rhoi ar gyfer ymddygiad afresymol ACLL yn ragnodol iawn. Credir y dylai hyn fod yn fater o ddisgresiwn i'r Arolygydd yng ngolau yr holl ffeithiau/amgylchiadau gerbron h.y. pob achos ar ei haeddiant. Bydd gofyn i'r Arolygydd fod yn gyson ac yn deg (evenhanded) gyda'r ddwy ochr - nid yw'n ymddangos felly ar hyn o bryd. Fydd modd apelio unrhyw ddehongliad/penderfyniad, yn enwedig yr achosion ffiniol?

C13	A ydych yn cytuno â'r broses ar gyfer dyfarnu costau a nodir yn Atodiad A o'r fersiwn ddrafft o'r canllawiau wedi'u diweddaru (yn Atodiad C)? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw		
				$\boxtimes$		
Sylwadau: Gweler ymateb i C12 uchod						

C14 A ddylid cynnwys unrhyw wybodaeth Dylid	Na
---	----

Cyfeirno	od yr Ymgynghoriad: WG28856					
	ychwanegol yn y fersiwn ddrafft o'r canllawiau wedi'u diweddar (yn Atodiad C)?		Dylid (yn amodol ar sylwadau pellach)	ddylid		
				$\boxtimes$		
Sylwa <b>Gwel</b> o	idau: er sylwadau blaenorol C12 a C13					
4.0	Symiau Dyddiol Safonol ar gyfer Achosion Pe	enodol				
C15	A ydych yn cytuno â'r dull diwygiedig ar gyfer codi symiau dyddiol ar gyfer gweithdrefnau cymwys ac ymchwiliadau lleol? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw		
C16	A ydych yn cytuno â'r symiau dyddiol safonol a gynigir yn Atodiad D? Os nad ydych, pam?	Ydw	Ydw (yn amodol ar sylwadau pellach)	Nac ydw		
Sylwadau: Mae'r symiau dyddiol yn ymddangos yn gyson gyda'r hyn sydd yn digwydd yn bresennol. Da gweld beth yw costau swyddogion eraill heblaw am yr Arolygwyr.						
Nid wyf yn dymuno i'm henw/cyfeiriad gael ei gyhoeddi gyda'm hymateb (ticiwch)						

Cyfeirnod yr Ymgynghoriad: WG28856

## Sut i Ymateb

## Cyflwynwch eich sylwadau drwy un o'r ffyrdd canlynol:

### E-bost

Cwblhewch y ffurflen ymgynghori a'i hanfon i:

planconsultations-g@wales.gsi.gov.uk

[Dylech gynnwys 'Apeliadau, costau a symiau dyddiol safonol' yn y llinell destun]

### **Post**

Cwblhewch y ffurflen ymgynghori a'i hanfon i:

Ymgynghoriad ar apeliadau, costau a symiau dyddiol safonol Y Gangen Penderfyniadau. Yr Is-adran Gynllunio Llywodraeth Cymru Parc Cathays Caerdydd CF10 3NQ

### Gwybodaeth ychwanegol

Os oes gennych unrhyw ymholiadau am yr ymgynghoriad hwn, gallwch gysylltu drwy

E-bost: planconsultations-g@wales.gsi.gov.uk

Ffôn: Lewis Thomas (029 2082 3201) neu Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts							
Date of consultation period: 10 August 2016 – 4 November 2016							
Name	Tim Stephens						
Organisation	Caerphilly County Borough Council						
Address	Tredomen House, Tredomen Park, Ystrad Mynach, Hengoed, CF82 7WF.						
E-mail address	stepht@caerphilly.gov.uk						
Type (please select	Businesses/Planning Consultants						
one from the following)	Local Planning Authority						
	Government Agency/Other Public Sector						
	Professional Bodies/Interest Groups						
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)						
	Other (other groups not listed above) or individual						

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?	$\boxtimes$		
Comr	ments:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
				$\boxtimes$
Comments: The timescale for the submission by the LPA of the questionnaire and documents is too short. It may work at present for householder appeals, but that is because they are simple and involve few documents. It would place an unreasonable burden on LPAs to have to produce the appropriate documentation for major appeals within 5 days.				
			,	
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	ments:			
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	ments:			
Caref	ful consideration will have to be given to the mmstances'.	neaning	of 'exceptional	
			,	
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:		Ш	

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
3.0	Costs			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.	$\boxtimes$		
Comr	nents:			

Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  No further comment)  Comments:			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?  Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)  Yes (subject to further comment)  Yes (subject to further comment)			
Comments:    Comment   Com			
Comments:    Yes			
Comments:  Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
Should any additional information be included within the draft updated guidance (at Annex C)?  Yes (subject to further comment)			
within the draft updated guidance (at Annex C)?  within the draft updated guidance (at Annex comment)			
Comments:			
4.0 Standard Daily Amounts of Certain Proceedings			
Yes			
Do you agree with the amended method for charging daily amounts for local inquiries and charging daily amount daily amounts for local inquiries and charging daily amounts daily amounts for local inquiries and charging daily amount daily			
qualifying procedures? If not, why not?			
Comments:			
There is nothing wrong in principle with making explicit the charge incurred by			
officers other than the inspector			

Consultation Reference: WG28856

Do you agree with the proposed standard daily amounts at Annex D? If not, why not?  Yes (subject to further comment)						
Comments: No, not without a clear indication of how the new costs will differ from the old costs. It is not clear what impact those hourly costs would have on the total cost for an inquiry or other procedure.						
I do not want my name/or address published with my response (please tick)						
How to Respond						

Please submit your comments in any of the following ways:

· · · · · · · · · · · · · · · · · · ·
Email
Please complete the consultation form and send it to :
planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

#### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

#### Lewis, Paul M J (ESNR-Planning)

From: Diane.Savage@hse.gov.uk
Sent: 28 October 2016 10:14
To: planconsultations-q

**Subject:** Welsh Government Consultation WG28856 - Appeals, costs and standard daily

amounts

Dear Planning Directorate (Welsh Government)

#### Consultation Number: WG28856 Appeals, costs and standard daily amounts

Thank you for the opportunity to comment on the above consultation. HSE supports the purpose and principles underpinning the proposals and we welcome this opportunity to share our views with you. As our comments relate to the proposals in general, rather than addressing the specific questions, I have set them out below, rather than in the questionnaire and I hope this is acceptable

#### Appeal and call-in procedures

The proposals to introduce measures that reduce the time taken to determine an appeal or call-in appear sensible. The requirement for appellants to submit a full statement of case prior to the start of the appeal or call-in process, justifies the reduction in time for submission of representations, and, subject to prompt notification from the LPA, should not place undue burdens on interested parties. In the case of HSE, we would expect to have sufficient information relating to our case to respond within the proposed timescales and, in the case of a call-in initiated by HSE, this should not be an issue.

#### Making changes to an appeal

Paragraph 2.72 sets out the proposal that PINS deal with an appeal application in the form that it was considered by the LPA. HSE wonders whether this approach would mean that amendments or compromises agreed post submission are not considered. If so, would the only option for the applicant be to submit a new application, and will this cause problems by delaying the process?

#### Draft updated guidance relating to costs (Annex C)

HSE notes the draft updated guidance for the award of costs contained at Annex C of the consultation document, in particular in relation to adverse costs against statutory consultees. HSE seeks reassurance that in circumstances where its advice changes during the inquiry process, for example where information it could not reasonably have obtained at an earlier stage comes to light, or where the change in advice is in response to a change in the application that materially affects HSE's advice, this would not be considered unreasonable behaviour.

Regards

#### Diane Savage

Diane Savage | Land Use Planning Policy | Major Hazards Policy Division | Hazardous Installations Directorate - Health & Safety Executive | 2.2 Redgrave Court, Merton Road, Bootle L20 7HS | | 2 +44(0)151 951 4522 (VPN 523) | 2: Diane.Savage@hse.gov.uk

www.hse.gov.uk | http://hse.gov.uk/landuseplanning



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www.hse.gov.uk
***************************************

	Appeals, costs and standard daily amounts			
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016			
Name	Geraint N. Roberts			
Organisation	Newport City Council			
Address	Civic Centre Newport South Wales NP20 4UR			
E-mail address	planning@newport.gov.uk			
Type (please select	Businesses/Planning Consultants			
one from the following)	Local Planning Authority			
	Government Agency/Other Public Sector			
	Professional Bodies/Interest Groups			
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)			
	Other (other groups not listed above) or individual			

Consultation Reference: WG28856

### 2.0 Appeal and call-in procedures

Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?  Yes (subject to further comment)					
Comments: The Council agrees with this since appellants have a 6 month window in which to submit their appeal - this is sufficient time to prepare a full case and there should be no legitimate reason for further delay.					

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comr	ments:			

Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	he proper maintenance of land? If not, why not?			

#### Comments:

The Council agrees this is appropriate. Most issues have arisen where the appellant has preferred an appeal route that is not suitable leading to unnecessary expense for no better planning outcome or where the Inspectorate has allowed itself to be swayed by levels of objection rather than the criteria of the case in question.

Consultation Reference: WG28856

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
	ments:			_
	appellant will have 6 months to prepare their o	•		
	ss. This is actually highly asymmetric and could que of the appellant's case and nothing more d			to a
0	are or the appearance case and neuming more a			
	e will be every little time to consult or instruct	•	• •	
	its a significantly revised evidence base from t r revisions to the scheme. As such the submiss		•	
_	ence or revisions should be precluded or in the		•	
time	offered for any necessary rebuttal allowed. Ot	herwise	appellants will	be
_	a very significant time advantage over LPAs -	this wou	uld be most unf	air and
shoul	ld not be allowed.			

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

The Council agrees with this proposal since it may focus on issues of dispute and reduce expenditure of time and money. However in the Council's experience they have been of limited use often only confirming already well understood points of difference identified in the Decision Notice.

Consultation Reference: WG28856

Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per	ted by the Inspector as part of the ation of an appeal or call-in should be to a word-limit of 3,000 words per  Yes (subject to further comment)	(subject to further	No
	topic? If not, why not?			

#### Comments:

By their nature the topics to be heard are likely to be complex or contested (or both). The word limit will focus people's minds and may avoid any tendency to bludgeon appeal parties by weight of information. Summaries should be provided where an appeal party shows that it is necessary to significantly exceed the word limit (and the Inspector accepts this is so) or else we will see 'creep' in the size of submitted documents. Very extensive appendices should also be precluded in order to prevent the 'never mind the quality, feel the width' stance of some agents.

Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No

Consultation Reference: WG28856

#### Comments:

Applicants may simply have refused to amend a scheme prior to determination on the basis that they considered it acceptable (and the LPA did not). In my experience most post-decision amendments could have been made prior to decision and it is only the appellant's desire to whittle away reasons for refusal that prompts concession during an appeal. However appellants may be more minded to negotiate prior to decision if amendments cannot me made or new evidence submitted post-decision.

A no amendments ruling would not prevent the submission of very large amounts of additional information to substantiate a scheme's merits - retail information, traffic data etc. Any guidance would need to be entirely clear that the submission of scheme amendments and supporting evidence is precluded.

In my mind the submission of any information that materially affects the decision to be made should be precluded at appeal since again if its submission is allowed then in effect it is not the application the LPA dealt with and the public were consulted on; with and the same issues of access and accountability arising.

Anything that requires further consultation or referral to experts should not be admitted whatever the circumstances. The circumstances proposed would need to be rigorously applied by Inspectors. Appellants will no doubt argue they could not have addressed reasons for refusal until they were in receipt of a notice and will see the first test as very low bar. The first an LPA will know of the appeal content is when they receive the Statement of Case so would not be in a position to dispute this until after the appeal is accepted. The second test is so high bar it rarely will be met in normal appeal circumstances (unless some unknown information material to the determination comes to light after the application is refused e.g. a bat colony is established).

It is essential that the appellant is required to confirm the scheme or accompanying evidence is amended and to explain why this was not done in the application phase. If this is not done then an appeal should not be validated. In the event the LPA or a third party consider the tests for amendment / additional information is not met then there should be a means of challenge.

Appellants should not be encouraged to see the appeal process as an opportunity to significantly re-work their submission in any way - this is especially if the whole point of the changes is to speed up the appeal process. If decisions are to be speeded up this must include refusals as well as grants or else the public will not see the system as even handed. They will see the appeal system as a less accountable shadow system running in favour of developers and outside the control of elected (and accountable) Councils which is to some extent what they currently think anyway.

These proposed changes will be very unpopular with developers and PINS will need to hold a high line to make this change stick. If LPAs and third parties are to retain confidence in the system appellants will need to show one or both of the proposed tests were robustly passed. As noted above the Council is strongly of the view any change that requires public consultation or expert analysis should never be accepted. Only minor changes should be allowed.

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 Yes Do you agree with the circumstances in which Yes (subject to No Q9 an application may be varied in the case of an further appeal? If not, why not? comment) $\boxtimes$ Comments: Yes - subject to rigid application or else everything will become 'exceptional'. There can be no pandering to appellants or LPAs. Appeals that have been amended or have a signficantly varied evidence base should demonstrate the tests are passed prior to validation. LPAs and third parties should have a right to challenge validation. Yes Do you agree with our proposals to introduce a Yes (subject to No 6 month time limit for certificate of lawfulness Q10 further appeals against a decision by a LPA? If not, comment) why not? Comments: Appellants should be able to find suitable evidence within 6 months and should not be prejudiced. Given LDCs are a snapshot in time to allow excessive distance to arise between the decision and any appeal would not be rational.

#### 3.0 Costs

Q11 Do you agree that Welsh Ministers should be	Yes		No	
---	-----	--	----	--

Consultation Reference: WG28856

Consultation Reference. WO20000			
able to recover their own costs? If not, why not?		Yes (subject to further comment)	
Comments:  Awarding costs to yourself will always lead to levels	of publ	ic concern over	•

Awarding costs to yourself will always lead to levels of public concern over probity and the objectivity of costs awards decisions will be called into question unless very carefully justified.

If the aim is to deter frivolous appeals and poor behaviour in general terms the existing costs regime already has the same disciplining effect. Is there any evidence a weightier stick is needed? Costs are rarely awarded now (where sought) and in my experience appellants are rarely deterred from seeking their costs should they feel aggrieved.

If Welsh Government is to take a more prominent role in the planning process (DNS for example) there must be public confidence that it is objective. Ability to award costs to PINs might undermine this since the public will see little difference between Planning Division and PINs Wales.

I would have preferred the introduction of fee akin to that for planning applications if the WG is concerned over cost recovery (rather than the stated reason of deterring unreasonable behaviour).

Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.	Yes (subject to further		

#### Comments:

Failure to determine an application within the statutory period cannot be inherently unreasonable even if there was no substantive planning reason for the delay. If a non-determination appeal becomes 'no cost' at any point after 8 weeks then the result may be more appeals not less, taking more time and costing more money. This needs to be reconsidered.

If fees are refunded after 16 weeks then any time after this is potentially unreasonable? 8 weeks isn't.

Should an Inspector remove a condition on appeal the implication is that this is a costable matter automatically. This cannot be so in every instance and this point should be reconsidered.

Consultation Reference: WG28856

Likewise preventing or delaying and application that should have been approved would imply the Council was unreasonable whenever an appeal is allowed. This is a nonsense.

Not determining or providing a position on similar cases in a consistent manner is also a nonsense since it undermines the well established principle of every application on its own merits. If this is applied it should also apply to applicants?

Given by Statute LPAs need not negotiate on a Legal Agreement for a period of 5 years would this amount to non-cooperation? This would need a great deal more clarification.

Other than this the grounds suggested look broadly fair.

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
				$\boxtimes$

#### Comments:

If an appellant or LPA can't identify unreasonable behaviour in an appeal then I see no reason for a 3<sup>rd</sup> party to do so, particularly when that party may be a beneficiary of an award of costs. The public will not see much of the way in separation between Planning Division and the Inspectorate and there is a risk public confidence will be undermined in the overall process.

Planning Division may lack the day to day experience of dealing with applications and appeals that PINS and the LPAs have. There is a risk they will be too dependent on the Inspector's judgement - and PINs may be a beneficiary of an award. Loss of confidence in the process is a risk. I would also note that in this authority's experience Inspectors have been reluctant to award costs against appellants who have been naïve or badly advised but have held the LPA to a higher standard. LPAs must also have confidence they will be treated fairly within the system. Not all development is acceptable and LPAs should be assured they can confidently refuse permission where justified and also receive their costs where justified.

Appeals	s, cost and standard daily amounts				
Consult	ation Reference: WG28856				
			Yes		
014	Should any additional information be included within the draft updated guidance (at Annex	Yes	(subject to	No	
Q14	C)?		further comment)		
				$\square$	
	nents:				
	Council considers no additional information sho		•		
retair	er clarification to its objections at question 12 ned.	. Siloulu	tilose ciauses b	e	
4.0	Standard Daily Amounts of Certain Proceedin	as			
			Yes		
045	Do you agree with the amended method for	Yes	(subject to	No	
Q15	charging daily amounts for local inquiries and qualifying procedures? If not, why not?		further comment)		
Comr	nents:		_		
			Yes	A 1	
Q16	Do you agree with the proposed standard daily	Yes	(subject to further	No	
	amounts at Annex D? If not, why not?		comment)		
	nents:	ov dop't	soom to shuffer		
sugge	ested fees seem reasonable in the main but the	ey aon t	seem to opviou	เรเช	

Consultation Reference: WG28856

match the Schedule to the Developments of National Significance (Fees) (Wales) Regulations 2016 even allowing for the 'roll-up' of costs in the Regulations. Given how recent those Regulations are this seems odd and ought to be justified given the aim is the same (full cost recovery)? This may suggest the cost break-down at Annex D is more transparent and user friendly? Either way there should be no significant discrepancy between the two charging regimes.

I do not want my name/or address published with my response (please tick)	

#### **How to Respond**

#### Please submit your comments in any of the following ways:

# Email Please complete the consultation form and send it to : planconsultations-g@wales.gsi.gov.uk [Please include 'Appeals, costs and standard daily amounts' in the subject line]

#### **Post**

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NO

#### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts	
Date of c	onsultation period: 10 August 2016 – 4 November 2016	
Name	Rhian Jardine Head of Sustainable Communities	
Organisation	Natural Resources Wales	
Address	Cambria House 29 Newport Road Cardiff CF24 0TP	
E-mail address	KSP.Planning@cyfoethnaturiolcymru.gov.uk	
Type (please select	Businesses/Planning Consultants	
one from the following)	Local Planning Authority	
	Government Agency/Other Public Sector	
	Professional Bodies/Interest Groups	
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)	
	Other (other groups not listed above) or individual	

Consultation Reference: WG28856

## 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
NRW appea the p	nents: supports the proposal for full statements of call in most circumstances. We consider this al rocess, and would allow statements of case fruced in the full knowledge of all matters raise	lows for tome	the frontloading ested parties to	g of

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

NRW agrees with the definition of 'full statement of case' in paragraph 2.10: "a written statement which contains full particulars of the case and all the matters which a person proposes to raise and copies of any documents, materials and evidence they intend to rely on in evidence". While we note from comments made in paragraph 2.5 that the statement will include policy arguments, for the purpose of clarity, the definition should also specify the need to link case particulars with relevant national and local planning policy.

Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why	Yes	Yes (subject to further comment)	No		
	not?					
	Comments:					
NKW	has no comments on this matter.					

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 Yes Do you agree with our proposals relating to Yes (subject to No changes to initial procedure and submission of Q4 further core documents by parties? If not, why not? comment) $\square$ Comments: NRW agrees with the adoption of a clear procedure for submitting core documents by parties, which would allow for focused representations at the outset. We recognise the need to take on board positive planning reforms and a need for the planning appeal process to speed up. However, we would have concerns where there is no flexibility for the Planning Inspector to alter the timescales for submissions from interested parties to reflect the complexity of the case. The changes propose that representations are submitted within 4 weeks of the starting date of an appeal (paragraphs 2.42-2.45) and detailed final comments to be submitted within 6 weeks (paragraphs 2.46 and 2.47). However, from our experience of dealing with large-scale cases there may be occasions where despite best endeavours, longer-time periods may be required to appopriately consider complex and technical information. We therefore believe that it would be prudent to include clauses enabling Planning Inspectors the discretion to allow, where appropriate, negotiated timescales for submission of representations. Yes Do you agree with our proposals regarding Yes (subject to No Q5 Statements of Common Ground? If not, why further not? comment)

Comments:

 $\square$ 

Consultation Reference: WG28856

We welcome the intention to produce guidance for Statements for Common Ground (SoCG) and we would be pleased to offer our comments, informed by our experience to date, on the prepartion of this guidance.

We support proposals set out in paragraphs 2.56-2.60 and the criteria in Annex B for mixed mode examination, which would allow a Planning Inspector to tailor the procedure necessary to case requirements and alter the method of examination at any point in time should this be required. We would ask, however, that due notification of a change in proceedings, including changes in the type of information to be submitted and any changes in timecales are clearly explained to interested parties, including statutory consultees.

We agree that SoCG may be appropriate in appeals and called-in planning applications. We recognise that SoCG can help inform the appeal procedure. However, given the concerns highlighted in the consultation document, we agree that their preparation, whilst encouraged, should not be a statutory requirment and agree that SOCG should not be subject to a statutory deadline. Where a SOCG is to be agreed after the commencement of proceedings, we would propose that such a statement is initiated by a Planning Inspector where parties have indicated those areas to be agreed. The intention of our proposal is to ensure that parties are only asked to prepare statements where common ground is considered feasible, to prevent the preparation of unnecessary statements and to prevent unnecessary pressure being placed on a party to agree common ground where they are not in a position to do so.

Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

We support proposals set out in paragraphs 2.56-2.60 and the criteria in Annex B for mixed mode examination, which would allow a Planning Inspector to tailor the procedure necessary to case requirements and alter the method of examination at any point in time should this be required. We would ask, however, that due notification of a change in proceedings, including changes in the type of information to be submitted and any changes in timecales are clearly explained to interested parties, including statutory consultees.

Consultation Reference: WG28856

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per	Yes	Yes (subject to further comment)	No
	topic? If not, why not?			

#### Comments:

We seek further clarity on whether the proposed 3000 word limit per topic for further submissions includes or excludes technical appendices in addition to the main text.

In cases where significant technical detail is required, it is often useful to provide a non-technical summary to explain the main findings. A 3000 word limit may also limit the matters that can be raised, and the level of detail provided.

It is our view that where persons are involved in an appeal, they should be encouraged to prepare statements, which are concise and a 3000 word limit should be used as a guide rather than a rigid maximum. Alternatively, it may be prudent to include clauses to provide Planning Inspectors with the discretion to allow a negotiated change in word limit of submissions. In our role as a statutory consultee, we would welcome an opportunity to work with Welsh Government to develop guidance on this matter.

Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

We agree with the principle that the Planning Inspectorate (PINS) should deal with an application in the form it was considered by a Local Planning Authority (LPA). We would agree that revisions to an application should be considered by the LPA in the first instance (paragraph 2.76) and changes to an application are precluded once a notice of appeal has been submitted and can only be made in

Consultation Reference: WG28856

prescribed circumstances (paragraph 2.77). In raising awareness, we would suggest that guidance is made available to an applicant and appellant, which clearly explains the need to agree changes at the planning application stage and
before submitting an appeal.

Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No		
Comments: We agree with the specific or exceptional circumstances (described in paragraph 2.74), which allow new information to be raised following notice of appeal, which is at the discretion of the Planning Inspector.						

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

We agree with the introduction of a six month time limit from the date of a planning decision for appeals to be made. We note that it is not proposed to introduce a time limit in the case of appeals relating to the failure of a LPA to determine a planning application. We are supportive of those changes, which will aim to ensure consistency with other types of planning appeals.

Cor	Consultation Reference: WG28856					
3.0		Costs				
(	Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No	
i	ntrod leter	ing decision for appeals to be made. We note duce a time limit in the case of appeals relatin mine a planning application. We are supportivim to ensure consistency with other types of p	g to the ve of tho	failure of a LPA ose changes, wh	\ to	
		Do you agree with the grounds for	Yes	Yes (subject to	No	
(	Q12	unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional		further comment)		
		grounds.				
	Comn	nents:				
NRW has no comments on this matter.						

	updated guidance (at Annex C)? If not, why not?		(subject to further				
			comment)				
We as Appear have shown In ref opportunity would proces	Comments: We agree with the process for the awards of costs, which is explained in Appendix A of the draft updated guidance (at Annex C). It would be helpful to have a flowchart to show the process to be followed, similar to the flow diagram shown in Annex A.  In reference to comments made in Appendix A2, the appeal form allows an opportunity for the appellant to submit an application for an award of costs. It would be helpful to clarify whether the same form would be used during proceedings and/or following the withdrawal of an appeal; and for other persons taking part in the appeal proceedings.						
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No			
	Comments: NRW has no comments on this matter.						
I.0 Standard Daily Amounts of Certain Proceedings							
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No			
Comments: NRW has no comments on this matter.							

Consultation Reference: WG28856

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No		
	Comments: NRW has no comments on this matter.					
I do not want my name/or address published with my response (please tick)						

# **How to Respond**

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Email
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planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post
Please complete the consultation form and send it to:
Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ
Additional information
If you have any queries on this consultation, please

Consultation Reference: WG28856

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts					
Date of consultation period: 10 August 2016 – 4 November 2016					
Name	Rebecca Williams, Director				
Organisation	CLA Cymru				
Address	Ty Cymru, Presteigne Enterprise Park, Presteigne, Powys, LD8 2UF				
E-mail address	rebecca.williams@cla.org.uk				
Type (please select	Businesses/Planning Consultants				
one from the following)	Local Planning Authority				
	Government Agency/Other Public Sector				
	Professional Bodies/Interest Groups				
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)				
	Other (other groups not listed above) or individual				

Consultation Reference: WG28856

#### 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
	, , , , , , , , , , , , , , , , , , ,			

#### Comments:

NO. We do not agree with the proposals in para 2.18 to require full statements of case at the outset for Enforcement appeals. With deadlines of between 21-28 days to submit an enforcement appeal form, how can an appellant be expected to pull together all the necessary information, evidence witnesses etc to support an enforcement appeal in 21-28 days? This proposal is unreasonable and unfair.

We set out below our requirements for Statements of case for enforcement appeals:

A statement of case:

- must include a list of documents, maps and plans the appellant intends to rely on:
- should describe, but not contain, the evidence;
- should refer to any policies or other documents not referred to by the local planning authority but considered to support an appellant's case;
- should not, normally, in the local planning authority's statement introduce additional policies, except where the local policies have changed since the notice was issued;
- should set out both the planning and legal arguments which a party intends to put forward at the inquiry;
- should cite any statutory provisions and case law they intend to use in support of their arguments;
- should briefly describe any suggested mitigating factors;
- should focus on the areas of differences as the areas of agreement will be in the statement of common ground.

Our proposed definition for Enforcement appeals statements of case requires a description of the evidence, but does NOT require that the evidence is supplied at the appeal lodging stage. Rather the appellant must be able to provide supporting evidence as they do currently.

We request that the Welsh Government clarifies that the consultation proposals only relate to s78 appeals.

We remain very concerned about the impact of these procedural proposals on small rural developers who may have to expend a great of deal of resource (time and money), that they may not have, employing a professional adviser to act for him/her in delivering the completed evidence at the appeal deadline stage.

It is not at all clear that these proposals have been properly rural-proofed.

Consulta	ation Reference: WG28856			
				T
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
				$\boxtimes$
NO. V	nents: Ve do not agree with this definition and its appals. See our comments above in our answer to		•	nt
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why	Yes	Yes (subject to further comment)	No
	not?			
Comments: No. The Planning Inspectorate, as an independent body, should be the only organisation to determine procedures for and make decision on these appeals.				
Q4	Do you agree with our proposals relating to changes to initial procedure and submission of	Yes	Yes (subject to further	No

Comments:

No. We strongly disagree with the timeframes as they will affect Enforcement appeals. Nor do we agree with the proposed shortening of timeframes for earlier

comment)

core documents by parties? If not, why not?

submissions of core documents or for the final comments stage. The reduction in
time frames is likely to lead to poorer quality appeals, the outcomes of which
are unlikely to be in the public interest. The existing timeframes work and
should be continued rather than changed for the sake of change.

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
We all Dispurmay a	ments: Iso suggest that the Welsh government conside Ite Resolution (ADR) at both Planning Applicati assist in preventing unnecessary planning appe ery of development.	on and A	Appeal stages. A	DR

Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				
The appellant must be given the opportunity to say why, in their opinion, a hearing or inquiry would be the correct way forward.					

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No	
	,,,,,				
Comr	nents:				
			Γ		
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
appea	opellant will need to consider the LPA's reasonal. This may require the submission of new evited at application stage. This new evidence sl	idence t	that was not		
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
The confinence of the confinen	Comments: The question in the consultation relates to circumstances in whic new information may be introduced during an appeal etc.  An appellant will need to consider the LPA's reasons for refusal as part of their appeal. This may require the submission of new evidence that was not submitted at application stage. This new evidence should not be precluded.				

			<u> </u>	
Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comn	nents:			
3.0	Costs			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comn	nents:			
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not,	Yes	Yes (subject to further comment)	No
Q12	unreasonable behaviour specified within the	Yes	(subject to further	No

Consulta	ation Reference: WG28856			
	Do you agree with the process for the awards		Yes	
Q13	of costs set out in Appendix A of the draft	Yes	(subject to	No
Q 13	updated guidance (at Annex C)? If not, why not?		further comment)	
	not:			
Comr	nents:			
			I	L.
			Yes	
Q14	Should any additional information be included within the draft updated guidance (at Annex	Yes	(subject to	No
Q 14	C)?		further comment)	
Comr	nents:			
4.0	Standard Daily Amounts of Certain Proceedin	gs		
	Do you garge with the amended method for		Yes	
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and	Yes	(subject to further	No
	qualifying procedures? If not, why not?		comment)	
Comn	nents:			

Consultation Reference: WG28856

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No	
		$\boxtimes$			
Comn	nents:				
I do not want my name/or address published with my response (please tick)					

# **How to Respond**

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planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post
Please complete the consultation form and send it to:
Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ
Additional information

Consultation Reference: WG28856

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts							
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016						
Name	Helen Edwards						
Organisation	Glandŵr Cymru, the Canal & River Trust in Wales						
Address	The Kiln, Mather Road, Newark, Nottinghamshire NG24 1FB						
E-mail address	helen.edwards@canalrivertrust.org.uk						
Type (please select	Businesses/Planning Consultants						
one from the following)	Local Planning Authority						
	Government Agency/Other Public Sector						
	Professional Bodies/Interest Groups						
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)						
	Other (other groups not listed above) or individual	$\boxtimes$					

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why	Yes	Yes (subject to further comment)	No
	not?			
Comn	nents:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
We a commod period apperiod inter applicase this tinter to ca of ca refus dispr	ments: re concerned by the proposal to reduce the tingular from 6 weeks to 4 weeks. This 6 or 4 weeks did allowed for local planning authorities to notical. It is proposed that LPA's will be expected to appeal within 5 working days of the start date, ested parties, who may have no prior knowledgeant to submit an appeal, with only 3 weeks to and submit representations. It is accepted that time period may be only 4 weeks once an LPA is ested parties. From Glandŵr Cymru's perspectal on specialists or legal advice to make our conse and/or to provide support to LPA's in relational. Reducing this period to, in effect, 3 weeks oportionate pressure on interested parties give it takes to determine a planning application. Tined.	eek peri- fy inter- to notify This is ge of the review t under has take tive how mments on to de- s would en the o	od includes the ested parties of interested partielikely to leave intention of the full statem the current property we may ron the full state fending a reason place a verall time peri	the ties of ent of ectice otify need ement of for

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

	ur proposals for the mixed- f appeals and call-ins? If	Yes	Yes (subject to further comment)	No
--	---	-----	---	----

Consult	ation Reference: WG28856			
Comr	nents:			
	Do you agree that further representations		Yes	
Q7	requested by the Inspector as part of the examination of an appeal or call-in should be	Yes	(subject to further	No
α.	subject to a word-limit of 3,000 words per		comment)	
	topic? If not, why not?			
Comr	nents:			
			T	
			Yes	
	Do you agree with the circumstances in which	Yes	(subject to	No
Q8	an application may be varied in the case of an appeal? If not, why not?		further comment)	
	appears as see, as y			
Comr	nents:		Ш	
001111				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an	Yes	Yes (subject to	No
ત્યું	appeal? If not, why not?	163	further	INU
			comment)	

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 Comments: Yes Do you agree with our proposals to introduce a Yes (subject to No 6 month time limit for certificate of lawfulness Q10 further appeals against a decision by a LPA? If not, comment) why not? Comments: 3.0 Costs Yes Do you agree that Welsh Ministers should be Yes (subject to No Q11 able to recover their own costs? If not, why further not? comment) Comments:

Q12		Yes	Yes	No
	Do you agree with the grounds for		(subject to	

Consult	ation Reference: WG28856			
	unreasonable behaviour specified within the		further	
	draft updated guidance (at Annex C)? If not,		comment)	
	please specify alternative or additional grounds.			
	grounds.			
Comr	nents:			
Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why	Yes	Yes (subject to further	No
	not?		comment)	
Com	nents:			
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
Comr	nents:			
4.0	Standard Daily Amounts of Certain Proceeding	ıgs		
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and	Yes	Yes	No

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 further comment) Comments: Yes (subject to Yes No Do you agree with the proposed standard daily amounts at Annex D? If not, why not? Q16 further comment) Comments: I do not want my name/or address published with my response (please tick) **How to Respond** Please submit your comments in any of the following ways:

Email
Please complete the consultation form and send it to :
planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

#### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts						
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016					
Name						
Organisation	Cardiff Council					
Address						
E-mail address						
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comr	nents:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
Comp	nents:			
0011111				
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comments: Would the LPA be involoved in any decision making process when determining whether any appeal might be subject to mixed-mode procedures?				

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Consi new i	nents: deration should be given to allowing further noting for the mider public/Local Members cations/consultations on the original applications.	s (in line		any
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
Comments: Insofar as these aplications deal with matters of lawfulness rather than merit, it is considered that a time-limit period of 3 months would suffice. This would also help to focus the actions of an appellant and prevent 'speculative' appeals.				
3.0	Costs			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
grounds.				
Comn	nents:			

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	l nents:			
Com	nents.			
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
			П	$\boxtimes$
	Standard Daily Amounts of Contain Dropoulin			
4.0	Standard Daily Amounts of Certain Proceeding	igs		
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

Consultation Reference: WG28856

Comments:	Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No
Comments:					
	Comr	nents:			

I do not want my name/or address published with my response (please tick)

#### **How to Respond**

#### Please submit your comments in any of the following ways:

# **Email** Please complete the consultation form and send it to: planconsultations-g@wales.gsi.gov.uk [Please include 'Appeals, costs and standard daily amounts' in the subject line] **Post** Please complete the consultation form and send it to: Appeals, costs and standard daily amounts consultation **Decisions Branch Planning Division Welsh Government Cathays Park** Cardiff **CF10 3NQ Additional information** If you have any queries on this consultation, please

Consultation Reference: WG28856

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts					
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016					
Name	Roisin Willmott					
Organisation	RTPI Cymru					
Address						
E-mail address roisin.willmott@rtpi.org.uk						
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups	$\boxtimes$				
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments: RTPI Cymru supports the principles set out in paragraph 1.8 of the consultation paper. The procedural proposals regarding full statements of case appear to take account of the variations between the types of appeal and of the time available to appellants to prepare such statements.					
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments: RTPI Cymru agrees that the definition is satisfactory, however, should the appeal proceed by way of a procedure which does not involve the further presentation of evidence by witnesses and submissions from advocates, the appeal will be considered on the basis of this statement. This may help to ensure that the statement of case is sufficiently detailed to enable those appeals to proceed without further submissions.				ation be e	
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No	
	the proper maintenance of land? If not, why not?				
Comr	Comments:				

(	Consultation Reference: WG28856		

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

While a five working day period to return an appeal questionnaire should be adequate in most cases there may well be more complex cases with substantial numbers of third parties, where the assembly of information and notifications could take a little longer. It might be helpful to have provision to relax deadlines in such cases. Local Planning Authorities (LPAs) should be able to identify these potentially rare cases at the outset.

While LPAs will be more experienced and organised in terms of responding, consideration should be given to the position of third parties, who may be inexperienced and unfamiliar with procedures and requirements and who may find difficulty in meeting the shorter deadlines. At the very least there should be prominent advance notice that there will be a very short turnaround required.

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

We broadly support the proposals, but have the following additional comments. Preparation of Statements of Common Ground between appellants and LPAs is more practicable in the case of inquiries and hearings.

For written representations cases it seems unnecessary and only likely to introduce delays to decision making. However, the position of third parties and how they can be incorporated fairlyin the process seems much more difficult. It would be unfair for third parties to be denied the opportunity to explore issues

Consultation Reference: WG28856

Jonisuita	tion Reference: WG28856					
of concern to them if they have been identified as Areas of Common Ground						
between LPAs and appellants.						
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No		
Comments:						

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per	Yes Yes (subject to further comment)	No
	topic? If not, why not?		

#### Comments:

While the reference to a word limit should encourage parties to be succinct and focus on the essentials, there may well be some issues where the word limit prevents evidence from being properly presented and some discretion should be afforded the Inspector. Evidence is also often backed up with supporting documents (published reports, for example) so these should be permitted to be attached where representations refer to or rely on them.

Q8 Yes Yes No
---------------

Consultation Reference: WG28856

	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?		(subject to further comment)	
Where appear variat	upport the limitation to vary an application aft e variations are allowed, there should be proval to have the opportunity to comment on the cions 'which do not affect the substance of the cully drafted. We consider variations should go	risions for m. The applica	or all the parties definition of tion' should be	s to an

Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

N.B. it is noted that this question is a repeat of Q8 In the consultation document, question 9 is:

"Do you agree with the circumstances in which new information may be introduced during an appeal or call-in? If not, why not?"

In response to that question, we consider the very limited circumstances in which new information may be introduced are acceptable but where new information is allowed, there should be provisions for all the parties to an appeal to have the opportunity to comment on them.

Consultation Reference: WG28856

Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not,		Yes (subject to further comment)	
why not?			
nents:			
	6 month time limit for certificate of lawfulness	6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?  (subject to further comment)

#### 3.0 Costs

Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments: If Welsh Ministers intend to recover their costs arising from the unreasonable behaviour of other parties, there needs to be some clarity as to how this is to be initiated and how other parties concerned are to be provided with an opportunity to respond.					

Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.		comment)	

#### Comments:

While agreeing with the majority of the grounds set out in Annex C, we think that the wording of the following should be reconsidered.

Regarding the final bullet point on page 46, the question of whether or not development should be permitted is a matter for the decision maker in any individual case and different decision makers may come to different conclusions dependent on the weight they attach to relevant policies and material considerations and on the exercise of their judgement.

Consultation Reference: WG28856

onsultation reference. Wezeece
This should be recognised. It is a question of whether the decision making is reasonable and rational or not.
Bullet point 11 (page 47) appears to be covered by bullet point 5 on the same page?

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments: The consultation document does not adequately clarify how an award of costs application initiated by an Inspector will be processed and how other parties are involved.					

Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No

#### Comments:

Clarification is needed with regards why the written representation process is not approprate for appeals where an award of costs application has been made, since the recent legislation provides for applications for awards of costs to apply to written representations cases (see Annex B page 34).

### 4.0 Standard Daily Amounts of Certain Proceedings

Consultation Reference: WG28856

Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No		
Comments: Yes, providing there are full details of the calculation of charges in individual cases.						

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments:					
I do not want my name/or address published with my response (places tick)					
I do not want my name/or address published with my response (please tick)					

# **How to Respond**

Please submit your comments in any of the following ways:

Email
Please complete the consultation form and send it to :
planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

#### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts						
Date of consultation period: 10 August 2016 – 4 November 2016						
Name	Sarah Edwards					
Organisation	Persimmon Homes West Wales					
Address	Dragon House, Parc y Ddraig, Penllergaer Business Park, Penllergaer, Swansea, SA4 9HJ					
E-mail address	sarah.edwards@persimmonhomes.com					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual	$\boxtimes$				

Consultation Reference: WG28856

# 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
		ı		
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
			$\boxtimes$	
	nents:  ng as additional information can be submitted  al.	as and w	hen required b	y the
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comn	nents:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	ments:				
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No	
LPAs	Comments:  LPAs and the apellant could indicate if they intend to submit a SoCG within a certain time period of the starting date.				
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	monto:				
Comments: There should be a time limit on changing the form of appeal and an extension of time to determine the appeal to allow both the LPA and the appellant time to react to the change.					

<b>Q</b> 7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No	
Discr	Comments: Discretion should be allowed on the word limit if there's a particularly technical issue that has been identified.				
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Ongo that i appea	Comments: Ongoing discussions are part of the SoCG process and are encouraged by PINS in that minor pieces of information are provided to the LPA, incorporated into the appeal and subsequently resolve an issue resulting in less work for the Inspector as one of the reasons for refusal has been dealt with.				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comments:  If the LPA and an apellant both agree to a change it could be allowed as long as it doesn't materially alter the original grounds for appeal.					

	ation Reference: WG28856			
			T	T
			Yes	
040	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness	Yes	(subject to	No
Q10	appeals against a decision by a LPA? If not,		further comment)	
	why not?			
Comr	l nents:			
3.0	Costs			
3.0	Costs		I	
3.0	Costs		Yes	
	Do you agree that Welsh Ministers should be	Yes	(subject to	No
3.0 Q11		Yes	(subject to further	No
	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why		(subject to	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why	Yes	(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?		(subject to further comment)	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?  ments:  Do you agree with the grounds for		(subject to further comment)	No
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?  ments:  Do you agree with the grounds for unreasonable behaviour specified within the		Yes (subject to further comment)  Yes (subject to further	
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?  ments:  Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional		(subject to further comment)  Yes (subject to	
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?  ments:  Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not,		Yes (subject to further comment)  Yes (subject to further	

# Consultation Reference: WG28856 Comments: Yes Do you agree with the process for the awards Yes (subject to No of costs set out in Appendix A of the draft Q13 further updated guidance (at Annex C)? If not, why not? comment) $\boxtimes$ Comments: Yes Should any additional information be included Yes (subject to No Q14 within the draft updated guidance (at Annex further C)? comment) $\boxtimes$ Comments: 4.0 **Standard Daily Amounts of Certain Proceedings** Yes Do you agree with the amended method for Yes (subject to No Q15 charging daily amounts for local inquiries and further qualifying procedures? If not, why not? comment) Comments:

Appeals, cost and standard daily amounts

Appeals, cost and standard daily amounts					
Consultation Reference: WG28856					
			Yes		
040	Do you agree with the proposed standard daily	Yes	(subject to	No	
Q16	amounts at Annex D? If not, why not?		further comment)		
Comr	nents:				
I do n	ot want my name/or address nublished with my r	aenonea	(nlease tick)		
I do not want my name/or address published with my response (please tick)					
HOW to	o Respond				
Please	e submit your comments in any of the following	g ways:			
Emai					
Please complete the consultation form and send it to :					
planconsultations-g@wales.gsi.gov.uk					
[Please include 'Appeals, costs and standard daily amounts' in the subject line]					
Doot				_	

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

#### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts						
Date of consultation period: 10 August 2016 – 4 November 2016						
Name						
Organisation	Isle of Anglesey County Council					
Address	ress					
E-mail address						
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

## 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comr	nents:			

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Com	nonis.			
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No	
	Comments: Provided that there is adequate and fair opportunity to cover all issues.				
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
3.0	Costs			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
	nents:  Ministers are publicly already publicly funded	d to carr	ry out this funct	cion.
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.			
Comr	nents:			

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
Comments: Not convinced that including written representations is appropriate as the process may be open to abuse and there needs to be further consideration on the resource and cost implications for public bodies.				
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
Comr	nents:			
4.0	Standard Daily Amounts of Certain Proceeding	ıgs		
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No
The c	nents: cost should be covered by central government prities.	and not	passed on to Lo	ocal

Consultation Reference: WG28856

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No
	nents: uestion 15 above.			
see q	destion 13 above.			
I do n	ot want my name/or address published with my re	esponse	(please tick)	

#### **How to Respond**

#### Please submit your comments in any of the following ways:

# **Email** Please complete the consultation form and send it to: planconsultations-g@wales.gsi.gov.uk [Please include 'Appeals, costs and standard daily amounts' in the subject line] **Post** Please complete the consultation form and send it to: Appeals, costs and standard daily amounts consultation **Decisions Branch Planning Division Welsh Government Cathays Park** Cardiff **CF10 3NQ Additional information** If you have any queries on this consultation, please Email: planconsultations-g@wales.gsi.gov.uk

Consultation Reference: WG28856
Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts				
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016				
Name	Rhodri Davies				
Organisation	Bridgend Conty Borough Council				
Address	Civic Offices Angel Street Bridgend CF31 4WB				
E-mail address	rhodri.davies@bridgend.gov.uk				
Type (please select	Businesses/Planning Consultants				
one from the following)	Local Planning Authority	$\boxtimes$			
	Government Agency/Other Public Sector				
	Professional Bodies/Interest Groups				
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)				
	Other (other groups not listed above) or individual				

Consultation Reference: WG28856

## 2.0 Appeal and call-in procedures

The p	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?  ments: procedural proposal will speed up the overall pads being added to the case at a later stage, so ficult or too late to rebut the additional ground	metime	s at a point whe	•
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comr	nents:			
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why	Yes	Yes (subject to further comment)	No
This p	not? ments: proposal will ensure consistency and understar l parties.	nding ac	ross all appeals	and

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
It has Inspe strea point	ments: not been evidenced that SoCG are of any benctor. This approach is contrary to the general mline/simplify the process and is an added burs of disagreement can be understood from the adds of appeal.	aspirati den on	ion to LPAs where the	main
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Howe	ments: ever, PINS should produce example scenarios wination of appeals and call-ins could be adopte		e mixed mode	

Consultation Reference: WG28856

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
The v	nents: vord limit should be a suggested/recommended nding on the nature of the topic or request, it uce a comprehensive/specialist response within	might n	ot be feasible to	0
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comments:  If the specific circumstances, as listed in paragraph 2.74 of the consultation paper, occur after the determination of an application, it is considered that the applicant/appellant should re-apply for planning permission under the "free go" provisions.				

Q9

Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?

Yes

Yes

(subject to

further comment)

No

Consultation Reference: WG28856 Comments: N.B. This question should refer to the circumstances in which "new information may be introduced during an appeal or call-in" as per the consultation document - as it stands, it repeats question 8. Yes Do you agree with our proposals to introduce a (subject to Yes No 6 month time limit for certificate of lawfulness Q10 further appeals against a decision by a LPA? If not, comment) why not?  $\boxtimes$ Comments: 3.0 Costs Yes Do you agree that Welsh Ministers should be Yes (subject to No Q11 able to recover their own costs? If not, why further not? comment)  $\boxtimes$ Comments: The principle of costs recovery is generally accepted. However, which body will be responsible for determining the application for an award of costs and will there be a mechanism to challenge any award of costs?

Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional grounds.	Yes	Yes (subject to further comment)	No
Comments: There should be provision in the guidance to penalise the abuse of procedure selection by the appellant. Currently, appellants claim that they are considering applying for costs in order to secure a Hearing rather than the Written Representations procedure.				
Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
Comp	nents:			
Comm	nents.			
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
Comn	nents:		Ш	

Consultation Reference: WG28856

#### 4.0 Standard Daily Amounts of Certain Proceedings

Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No
BCBC proces incre LPAs and is and leading and eappropers. Final Inspections	ments: cannot support any extra costs to the taxpayersesses. Therefore, the daily amounts should no ase in the cost of undertaking a review of an Lalready pay for the Programme Officer and it nequitable for Welsh Ministers and Inspectors are negth of the process and then offload the cost efficient, both in terms of speed and costs, and each for all staff working on a particular inquiredure runs counter to this.  It, the work expected of a Planning Officer, for ector with an Inquiry or other qualifying process.	t result .DP, for is consicto unilate s elsewh make in the Sta y or oth or examp	in an unreasonal example.  dered unreasonal tered unreasonal teres the tenere.  It more streamlindard Daily Amer qualifying to be factored	able able erms ned ounts he
top u	of his or her job description and general duties p payment to the Welsh Government based on cular Inquiry or Examination.		_	n as a

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

It is a good idea to clearly set out the standard daily amounts, provided that the figures do not result in an unreasonable increase in costs to be borne by the taxpayer and still promote efficiency across the service (refer to Q.15 above).

Consultation Reference: WG28856	
I do not want my name/or address published with my response (please tick)	

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planconsultations-g@wales.gsi.gov.uk

[Please include 'Appeals, costs and standard daily amounts' in the subject line]

#### **Post**

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ

#### Additional information

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts	
Date of c	onsultation period: 10 August 2016 – 4 November 2016	
Name	Emyr Davies	
Organisation	Redrow Homes Ltd	
Address	Redrow House Copse Walk Cardiff Gate Business Park CF23 8RH	
E-mail address	emyr.davies@redrow.co.uk	
Type (please select	Businesses/Planning Consultants	$\boxtimes$
one from the following)	Local Planning Authority	
	Government Agency/Other Public Sector	
	Professional Bodies/Interest Groups	
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)	
	Other (other groups not listed above) or individual	

Consultation Reference: WG28856

to view and consider.

### 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No		
Comments: It appears that the purpose of the appellant having to submit a full statement of case with the appeal submission is down to "fairness" and whereby the appellant is possibly seen to raise significant matters in their full statement of case.						
Redrow is concerned with the proposed change. The current system is fair whereby all parties produce the statement of case concurrently and are then given an opportunity to comment in the final 3 week statement. It is not only the case that the appellant could raise "significant matters in their full statement						
	of case" but this could be equally true of the LPA or 3 <sup>rd</sup> parties raising 'significant matters'. At the time the appeal is submitted then all information that was					

For appeals against non-determination it might well be the case that the full local planning authority concerns are not known and therefore it appears impractical for a statement of case to be submitted upon submission.

submitted and considered as part of the planning application is available for all

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
This	ments: should not limit the ability to submit further deence with the final 3 week statement.	ocumen	ts, materials an	d

03	Do you agree with our proposals to enable the	Yes	No
Q3	Do you agree with our proposals to enable the	165	INO

Consultation Reference: WG28856 Welsh Ministers (or PINS) to determine the Yes procedure for and make decisions on appeals (subject to against hazardous substances contravention further notices and appeals against notices requiring comment) the proper maintenance of land? If not, why not? Comments: No comments Yes Do you agree with our proposals relating to (subject to Yes No Q4 changes to initial procedure and submission of further core documents by parties? If not, why not? comment)  $\boxtimes$ Comments: The statement of case by both parties is a core document and Redrow believe that they should be produced at the same time to then allow review of these documents by either party and any other 3<sup>rd</sup> parties. The 3 week statement should remain as an appropriate timescale for responding to the statement of case. This timescale is 15 working days and in a practical sense (holidays, other job commitments) then should remain to allow all parties (with their consultant teams) to consider and respond within the 3 weeks. Yes Do you agree with our proposals regarding Yes (subject to No Q5 Statements of Common Ground? If not, why further not? comment)  $\square$ Comments:

Consult	ation Reference: WG28856			
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
			Ш	
Com	nents:			
Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word limit of 3 000 words per	Yes	Yes (subject to further	No
Q7	requested by the Inspector as part of the	Yes	(subject to further comment)	No
	requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	(subject to further	No 🗆
Comi	requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?  ments:  ow would recommend that some flexible word-cularly complex appeal and/or whereby Inspec	ing is ad	(subject to further comment)  ded such as un	less a
Comi Redro parti	requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?  ments:  ow would recommend that some flexible word-cularly complex appeal and/or whereby Inspec	ing is ad	(subject to further comment)  ded such as un	less a
Comi Redro parti	requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?  ments:  ow would recommend that some flexible word-cularly complex appeal and/or whereby Inspec	ing is ad	(subject to further comment)  ded such as un	less a
Comr Redro parti limit.	requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?  ments:  ow would recommend that some flexible word-cularly complex appeal and/or whereby Inspectors.  Do you agree with the circumstances in which an application may be varied in the case of an	ing is ad	(subject to further comment)  ded such as unepts a greater was greater was (subject to further	less a

Consultation Reference: WG28856

Redrow believes that Inspector's discretion and the 'Wheatcroft' judement are wholly appropriate and important aspects of the appeal system that must remain. Removal of this efficient approach could burden the appeal system in spending time and using resources that could be avoided by maintaining the ability to make changes.

Minor changes can often be made and agreed between parties in a statement of common ground. The inability to do this could potentially mean that an Inspector is forced to refuse an application on a relatively minor point and force the applicant to re-submit for planning permission. This scenario, and potential others, would result in a greater time in gaining a planning permission to start a development and would appear to be the complete opposite of what this consultion is intending to achieve.

Redrow's recent experience at an inquiry meant that an Inspector allowed changes to the appeal on the basis that a second application for the site had been submitted and consulted on by the local planning authority. In this case the local planning authority did not object to the introduction of the changes and any 3<sup>rd</sup> party was not prejudiced. This is an efficient way of dealing with matters and should remain on a case by case basis and the discretion of the Inspector.

This proposed change is likely to be counter productive to good planning and common sense resulting in longer overall timescales to get a planning permission.

Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

These comments are made against Q9 as written in the consultation and not as worded above.

Redrow accept the two circumstances listed but do not agree to these being the only circumstances. For example, a local planning authority sometimes have what they believe to be an in-principle concern with a proposal. They can therefore refuse the application swiftly for an in-principle reason but in assessing an application notice some matters that could be overcome with relatively minor amends/new information but just add these matters as further reasons for refusal. The point here though is that the local planning authority could have raised these matters when they considered the application but often don't because they know they are going to refuse the application.

Currently the 'lesser' reasons for refusal are often overcome through a

Consultation Reference: WG28856

statement of common ground and agreeing new information/an amendment. Again, this is a practical and efficient way of dealing with such matters and the proposals would remove the ability to do this. In such a circumstance it would place an Inspector in a situation of being able to make a decision on the main issue of the appeal but then have no ability to address the 'lesser' reasons for refusal (even with all parties at the Inquiry being happy with a minor variation to the appeal presented).

Negotiating and agreeing away 'lesser' reasons for refusal should be encouraged especially when in an Inquiry situation. From Redrow's experience this is always favoured by the appointed Inspector and by the local planning authority. For an inquiry it ultimately results in reducing Inquiry time.

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
	ments: omment			

#### 3.0 Costs

Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	ments:			
No co	omment			

Appeals	s, cost and standard daily amounts			
Consult	ation Reference: WG28856			
	Do you agree with the grounds for	Yes	Yes (subject to	No
Q12	unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not,	103	further	INO
Q1Z	please specify alternative or additional		comment)	
	grounds.			
Comr	ments:			
COIIII	แตกเจ.			
			Vas	
	Do you agree with the process for the awards of costs set out in Appendix A of the draft	Yes	Yes (subject to	No
Q13	updated guidance (at Annex C)? If not, why		further	
	not?		comment)	
Comr	nents:			
	Should any additional information be included	Voc	Yes	NI.
Q14	within the draft updated guidance (at Annex	Yes	(subject to further	No
	C)?		comment)	
Comr	nents:			
	omment			

## Consultation Reference: WG28856 4.0 Standard Daily Amounts of Certain Proceedings Yes Do you agree with the amended method for Yes (subject to No charging daily amounts for local inquiries and Q15 further qualifying procedures? If not, why not? comment) Comments: No comment Yes (subject to Yes No Do you agree with the proposed standard daily Q16 further amounts at Annex D? If not, why not? comment) Comments: No comment Other overall comments: Redrow does not want to see a situation whereby there is some improvement in the speed of an appeal decision on the basis that it is more likely to be a fast refusal. This consultation sets out that it intends to make the appeal system "more proportionate, cost effective and streamlined process which meets the needs of all parties; ...and increase the speed of decisions, thereby promoting growth and providing greater certainty for developers and communities". The concerns expressed by Redrow above would appear to result in a situation whereby the opposite could be achieved. In terms of timescales, it would appear that the proposed changes save 3 weeks

Appeals, cost and standard daily amounts

(2 weeks with the statement of case proposed changes and 1 week for the final

Consultation Reference: WG28856

statement changes) in an anticipated 26 week process (as set out in annex A of the consultation). In reality this is not the step change required to promote growth and get development off the ground. Redrow has raised concern with these two changes that result in an insignificant reduction of time over a current process that can take up to 52 weeks.

Redrow supports the 7 day validation period (as shown in the flow diagram in annex A) from the appeal submission but suggests that this is mandatory as with LPA validation requirements. Redrow's current experiences are that an appeal takes 6 weeks to validate.

At the other end of the flow diagram in annex A it finishes with a decision or a recommendation to the Welsh Ministers. In relation to the latter this is currently an undefined timescale and this has significant impact in terms of "providing certainty for developers" (as stated in the intensions for this consultation).

Redrow would urge a timescale for Welsh Ministers to make a decision following the issue of an Inspectors recommendation to be stipulated. A maximum of an 8 week period (to align with the Inspector's timescale for assessment and report writing) would appear appropriate from receipt of the Inspector's recommendation. The appellant should also be notified when the Inspector's recommendation is passed to the Welsh Ministers.

I do not want my name/or address published with my response	(please tick)

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Email
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planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

#### **Additional information**

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Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts				
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016				
Name	Name Eleri Davies				
Organisation	Innogy Renewables UK Ltd				
Address  Baglan Bay Innovation Centre Central Avenue Baglan Energy Park Port Talbot SA12 7AX					
E-mail address eleri.davies@innogy.com					
Type (please select	Businesses/Planning Consultants	$\boxtimes$			
one from the following)	Local Planning Authority				
	Government Agency/Other Public Sector				
	Professional Bodies/Interest Groups				
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)				
	Other (other groups not listed above) or individual				

Consultation Reference: WG28856

#### 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
	•			

#### Comments:

Providing the full Statement of Case (SoC) at the time of lodging the appeal will streamline the process, this does raise issues of fairness.

In cases of an appeal against non-determination, whilst both parties may be aware of matters in dispute, the appellant will not be aware of the LPA's formal view on an application. The full SoC can still be made reiterating why planning permission should be granted (taking account of any consultation responses received) and why the LPA has what it needs to make a determination. Late consultation responses, and responding to the LPA putative reasons for refusal can come later either through an update to the SoC or in evidence.

The level of information available to applicants will depend on the stage at which the Welsh Ministers issue a call-in and they may or may not have received comments from the LPA, statutory consultees and/or other interested parties at this stage to be able to prepare a comprehensive SoC. As with an appeal against non-determination, late consultation responses etc may cme later in the process either through an update to the SoC or in evidence.

The full SoC is defined in paragraph 2.10 of the consultation document as "a written statement which contains full particulars of the case and all the matters which a person proposes to raise and copies of any documents, materials and evidence they intend to rely on in evidence". In the case of an appeal against non-determination or a call in, it is difficult to see how an appellant will have all of the information they intend to rely on in evidence at the point where they are required to submit their full SoC.

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	 Yes (subject to further comment)	No

Consultation Reference: WG28856

#### Comments:

The definition of a full SoC, i.e. requiring copies of all documents to be relied upon, makes the process unreasonable. The 'traditional' definition required apellants to provide a full statement of their case and a list of documents to which they intend to refer - the list can be made very extensive to cover all eventualities (and some 'catch-alls' such as 'relevant National Policy Statements and documents') in a way that isn't possible if copies of all documents have to be provided at that very early stage. The requirement to provide copies of documents at this stage to be removed.

Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No
	the proper maintenance of land? If not, why not?			
Comments: innogy agrees with the proposal to align the procedures for all appeals and callins and for these to be contained in a single consolidated set of Regulations.				

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
Comments: innogy agrees with the proposal for the LPA to submit a completed questionnaire				

innogy agrees with the proposal for the LPA to submit a completed questionnaire and inform interested parties within 5 working days of the start date; for LPAs and other interested parties to submit their representations within 4 weeks of the start date; and for all parties to submit comments on other parties' statements within 6 weeks.

## Appeals, cost and standard daily amounts Consultation Reference: WG28856 Yes Do you agree with our proposals regarding Yes (subject to No Q5 Statements of Common Ground? If not, why further not? comment) $\boxtimes$ Comments: No comments. Yes Do you agree with our proposals for the mixed-Yes (subject to No Q6 mode examination of appeals and call-ins? If further not, why not? comment) $\boxtimes$ Comments: innogy agrees that the ability to use multiple procedures offers greater flexibility and enables procedures to be tailored to the case. The multiple procedures approach has worked well in the context of Development Consent Order applications under the Planning Act 2008 and encourages the most efficient and proportionate use of time and resources.

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
----	--	-----	---	----

Consultation Reference: WG28856

Concultation (Coloronec: 11 C20000			
Comments: innogy agrees with the proposal to give Inspectors to require the submission of further evidence (limited issues/matters following the initial process of submission comments. In many cases, this would avoid the need and, again, encourages the most efficient and proping resources.	I to 3,00 itting So ed for a h	0 words) on ce Cs and final nearing or inqu	rtain iry

Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

The ability to make changes to a proposal subsequent to the appeal is a very important mechanism for dealing with reasons for refusal or late objections from statutory consultees. If this ability is removed as a matter of principle, appellants would be unable to respond by amendment (or even further survey or environmental information) to objections raised by the LPA in their refusal or statutory consultees in late objections.

The use of Wheatcroft is long established and useful to appellants and should not be given up lightly.

Precluding new information (especially environmental information) from being considered risks legal challenge for EIA developments, since the decision-maker is legally obliged to take into account all relevant environmental information when making a planning decision on an EIA project.

There may be good reasons why it might be in the public interest to allow changes to a scheme at the appeal stage:

- a) it ensures that the appellant has the ability to put the best possible scheme (in terms of acceptability) before the decision-maker;
- b) it enables an appellant to respond to criticisms that may have only arisen at the time of determination, maybe during the determination meeting itself, without incurring the delay and cost of requiring a whole new application;
- c) if, as a result of the changes, the LPA wishes to change its stance and support the application they can indicate as such and play no part in the appeal process; d) it is not clear why an applicant would deliberately withhold information
- helpful to its application until the appeal stage but, in any event, the costs procedures can be used to address any instances of unreasonable behaviour.

The substantive point to be addressed here is the question of transparency and

Consultation Reference: WG28856

consultation on any changes that the decision-maker may accept as being reasonable in the circumstances. This could be addressed by giving the decision-maker the power to require any such changes to be advertised by the appellant as they did for the original application and to place the revised details on deposit. In the case of public inquiries, at present everyone who has expressed an interest in the application will be notified of the public inquiry. It would be simple for that letter (or a second communication to the same mailing list) to refer to any changes and state where further details are available.

Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comments: The comments made against Q8 above also aply to Q9.				

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
	ments: omments.			

#### 3.0 Costs

Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comp	nents:			
innog	y agrees that the Welsh Ministers and/or PINS er their costs, either in full or in part, where red and led to unnecessary or wasted expend	unreaso		
		Γ		
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.			
	nents: omments.			
Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
			Ш	
Comments: No comments.				

Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No	
	nents: mments.				
4.0	Standard Daily Amounts of Certain Proceedin	gs			
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No	
Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No	
	nents: mments.				
I do not want my name/or address published with my response (please tick)					

Consultation Reference: WG28856

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[Please include 'Appeals, costs and standard daily amounts' in the subject line]

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Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NO

#### Additional information

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

	Appeals, costs and standard daily amounts						
Date of consultation period: 10 August 2016 – 4 November 2016							
Name	Justina Moss						
Organisation	Vale of Glamorgan Council						
Address	Dock Office, Barry dock, Barry. CF63 4RT						
E-mail address	jmoss@valeofglamorgan.gov.uk						
Type (please select	Businesses/Planning Consultants						
one from the following)	Local Planning Authority	$\boxtimes$					
	Government Agency/Other Public Sector						
	Professional Bodies/Interest Groups						
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)						
	Other (other groups not listed above) or individual						

Consultation Reference: WG28856

## 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No				
Comments: This proposed change is welcomed								
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No				
Comr	nents:							
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	Yes	Yes (subject to further comment)	No				
	l the proper maintenance of land? If not why							
	the proper maintenance of land? If not, why not?							

Consultation Reference: WG28856

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
				$\boxtimes$

#### Comments:

It is noted that the proposal is to reduce the period for the completion of the LPA appeal questionnaire and notification of interested parties from 2 weeks to 5 working days. Whilst the Council understand the reasons for this proposal, this Council would strongly object to this change. This Council have struggled to adjust is methods of working to prepare the HAS and CAS questionnaires within 5 working days, but it has achieved the deadline mostly due to the associated applications being fairly simple submissions with very few representations being made resulting in fairly small files. Even with good file management it is difficult for this Council's officers to extract the necessary information from larger application files and to prepare and send out large batches of third party consultations letters. With decreasing staff numbers and no real changes to workload, this proposed change will put even more pressure on an already stretched service and it is envisaged that the 5 day working deadline will be regularly missed, for which we understand that there will be a penalty. In this Council's view the change proposed will place an additional unnecessary burden for the sake of saving only 5 working days from the appeal process. If there is still an intention to reduce the time period for appeal questionnaire submission, could a longer period than 5 working days please be considered?

I would add that this Council has found further difficulty with the 5 working day deadline for HAS and CAAS appeals when such appeals are started and notification of the start date is received towards the end of a working day. This has, effectively, reduced the period for the preparation of the questionnaire and consultation letters to 4 full days, instead of 5. A change in PINS working practices is called for to ensure that all CAS and HAS appeals are started and notification is sent to the PLA at the start of the first day.

With regard to the proposal to reduce the period of time for the submission of the LPA's full statement of case and third Party representations, this Council would object again for the reasons outlined above in terms of reducing resources and increasing pressure in LPA staff. Again, if there is still an intention to reduce the time period for appeal questionnaire submission, could a longer period than 4 weeks please be considered?

The Council do not object to any of the other changes proposed.

Consult	ation Reference: WG28856			
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:		_	
	proposed change is welcomed			
			Yes	
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	(subject to further comment)	No
	proposed change is welcomed and will save book			
Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			

Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
Yes,	Comments: Yes, the cahnge is welcomed and clarity with regard to the extent of accepted changes is also welcomed.				
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No	
I thin docui with	nents:  k there is an error in the above question 9 as one ment refers to 'new information' and not 'varies regard to new inforamtion is welcomed and class of accepted new inforamtion is also welcomed.	ed' applicarity wit	cations. The ca	hnge	

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	ments:			

Appeals, cost and standard daily amounts				
Consult	ation Reference: WG28856			
3.0	Costs			
	00313	Ī		1
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No
	grounds.			
Comr	nents:			
Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why	Yes	Yes (subject to further	No
	not?		comment)	
Comr	nents:			

	s, cost and standard daily amounts				
Consult	ation Reference: WG28856				
Q14	Should any additional information be included within the draft updated guidance (at Annex	Yes	Yes (subject to further	No	
	C)?		comment)		
This (	nents: Council's officers find the existing costs circur nce to use. This would also be the case for ur ification and more user friendly guidance on c	al partic represe	ntaed appellan		
4.0	Standard Daily Amounts of Certain Proceedin	ıgs			
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No	
0					
I agre and n to als	Comments: I agree with the proposal on the basis of daily standard amounts for officer time and not total daily standard amounts. This would still allow the LPA or appellant to also seek costs of any legal representation for which a standard daily amount cannot, of course, be applied.				
Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further	No	

Consultation Reference: WG28856

		comment)	
Comments:			
Yes these are welcomed.			
I do not want my name/or address published with my re	esponse	(please tick)	

## **How to Respond**

## Please submit your comments in any of the following ways:

Email
Please complete the consultation form and send it to :
planconsultations-g@wales.gsi.gov.uk
[Please include 'Appeals, costs and standard daily amounts' in the subject line]
Post
Please complete the consultation form and send it to:
Appeals, costs and standard daily amounts consultation Decisions Branch Planning Division Welsh Government Cathays Park Cardiff CF10 3NQ
Additional information
If you have any queries on this consultation, please
Email: planconsultations-g@wales.gsi.gov.uk
Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)



Emma Boylan, Town Clerk/Clerc Y Dref

# PENARTH TOWN COUNCIL CYNGOR TREF PENARTH

West House, Stanwell Road, Penarth CF64 2YG
Ty'r Gorllewin, Heol Stanwell, Penarth CF64 2YG
Tel/Ffôn: (029)2070 0721 Fax/Ffacs:(029) 2071 2574
E-Mail/E-Bost:enquiries@penarthtowncouncil.gov.uk
www.penarthtowncouncil.gov.uk

Please ask for/Cysyllter â; E-mail/E-bost

My Ref/Cyf:

Your Ref/Eich Cyf:

Lewis Thomas/Owain Williams
Divisions Branch
Planning Division
Welsh Government
Appeals, Costs and Standard Daily Amounts Consultation
Cathays Park
Cardiff
CF10 3NQ

4th November 2016

**Dear Sirs** 

### Appeals, Costs and Standard Daily Amounts Consultation

Further to this consultation and the deadline for responses, today.

Penarth Town Council would like to make contribution to the consultation and respond as follows: -

"Town and Community Councils are consultees in the Planning Process and in this context may be contacted as interested parties particularly if they have made comments concerning the subject planning application.

It is noted that in para 1.8 these proposals are intended inter alia to:

Increase transparency through better communication and exchange of information among all parties to promote public participation and public confidence in the appeal process.

While LPAs will be more experienced and organised in terms of responding, consideration should be given to the position of the town and Community Council Sector, who may be inexperienced and unfamiliar with procedures and requirements and who may find difficulty in meeting the shorter deadlines."

Yours faithfully

Town Clerk

	Appeals, costs and standard daily amounts					
Date of c	onsultation period: 10 August 2016 – 4 November 2016					
Name	Duncan Neish					
Organisation	The Law Society					
Address	113 Chancery Lane, London WC2A 1PL and Capital Tower, Greyfriars Road, Cardiff CF10 3AG					
E-mail address	duncan.neish@lawsociety.org.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

Consultation Reference: WG28856

## 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	nents:					
We as	gree.					
Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No		
We be	We believe the definition needs slight clarification with the additional text underlined: " and all matters which a person proposes to raise and refers to copies of any documents, materials"					
Q3	Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why	Yes	Yes (subject to further comment)	No		
	not?	$\boxtimes$				
	Comments:  We agree.					

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
We as	gree.			
			,	
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
We as	nents:			
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
We as	gree.			

Q7	Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?	Yes	Yes (subject to further comment)	No
Carrer	l nents:		Ш	
Comi	nents.			
We a	gree.			
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
Comr	nents:			
We a	gree.			
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No
Comr	nents:			
We a	draa			
we a	gi cc.			

Consult	ation Reference: WG28856			
Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not,	Yes	Yes (subject to further	No
	why not?		comment)	
			Ш	
Comn	nents:			
We ag	gree.			
3.0	Costs			
0.0	00313			
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
Comn	nents:			
Wass	aroo.			
We ag	gi ee.			
			Voc	
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not,	Yes	Yes (subject to further comment)	No

Consultation Reference: WG28856 please specify alternative or additional grounds. Comments: We agree. Yes Do you agree with the process for the awards Yes (subject to No of costs set out in Appendix A of the draft Q13 further updated guidance (at Annex C)? If not, why comment) not?  $\boxtimes$ Comments: We agree. Yes Should any additional information be included Yes (subject to No Q14 within the draft updated guidance (at Annex further C)? comment)  $\boxtimes$ Comments: 4.0 Standard Daily Amounts of Certain Proceedings Do you agree with the amended method for Q15 charging daily amounts for local inquiries and Yes Yes No qualifying procedures? If not, why not? (subject to

Consulta	ation Reference: WG28856			
Consum	AUDIT NEIGHBIGE. WV G20000		further comment)	
Comn	nents:			
We ag	gree.			
	<b>,</b>			
		Γ	<u> </u>	
			Yes	
Q16	Do you agree with the proposed standard daily	Yes	(subject to further	No
	amounts at Annex D? If not, why not?		comment)	
Comn	nents:			
We ag	gree.			
I do n	ot want my name/or address published with my re	esponse	(please tick) 🔲	
How to	o Respond			
Please	submit your comments in any of the followin	ıa wavs:	,	
Emai	•	y waye.		
Ellian				
Pleas	e complete the consultation form and send it to :			
nland	onsultations-g@wales.gsi.gov.uk			
				_
[Pleas	se include 'Appeals, costs and standard daily a	ımounts	i' in the subject I	ine]
Post				

Consultation Reference: WG28856

Please complete the consultation form and send it to:

Appeals, costs and standard daily amounts consultation
Decisions Branch
Planning Division
Welsh Government
Cathays Park
Cardiff
CF10 3NQ

### **Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

Appeals, costs and standard daily amounts						
Date of c	Date of consultation period: 10 August 2016 – 4 November 2016					
Name	Mark Harris					
Organisation	Home Builders Federation					
Address	PO Box 201 Barry CF639FA					
E-mail address	E-mail address mark.harris@hbf.co.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority					
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups	$\boxtimes$				
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

### 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No
	, •			

### Comments:

The HBF are concerned that this will actually put the applicant at a disadvantage and will not really 'significantly decrease' the time taken as suggested by the consultation. We do not agree that this a 'fairness' issues as suggested as it put one side at a disadvantage. All appeals are effectively a debate over whether or not a development is acceptable and are more often than not based on the reason for refusal (different in appeals against non-determination). Therefore it is clear from the start of the appeal what the mains issues to be discussed are.

The current system is fair whereby all parties produce the statement of case concurrently and are then given an opportunity to comment in the final 3 week statement.

For appeals against non-determination it might well be the case that the full local planning authority concerns are not known and therefore it appears impractical for a statement of case to be submitted upon submission.

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No
Comments: However this should not preclude the submission of further information which becomes necessary as the appeal proceeds.				

responding to the statement of case.

Consultation Reference: WG28856

procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring	(subject to further comment)	
the proper maintenance of land? If not, why not?		
nents: omment.		

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No			
The s	Comments: The statement of case by both parties is a core document and HBF believe that they should be produced at the same time to then allow review of these documents by either party and any other 3rd parties.						
The 3 weeks (15 working days) should remain as an appropriate timescale for							

Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

The HBF believe that the SoCG can help to reduce the time taken at appeal as it can help to resolve issues in advance of the formal proceedings. As they are not required in all cases it is agreed that there does not need to be a statutory requirement for one. However the HBF would suggest that it could be made a statutory requirement for a statement by both parties as to whether or not they intend to submit a SoCG, if this is imposed it should have a deadline.

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 Yes Do you agree with our proposals for the mixed-Yes (subject to No Q6 mode examination of appeals and call-ins? If further not, why not? comment) X Comments: However a time limit in which the decision to change the form of the inquiry should be stipulated and where a change is made a reasonable time extension for an appellant to react'prepare to such a change be provided. Do you agree that further representations Yes requested by the Inspector as part of the Yes (subject to No **Q7** examination of an appeal or call-in should be further subject to a word-limit of 3,000 words per comment) topic? If not, why not? X Comments: The HBF would suggest that the Inspector should be allowed the discretion to allow a greater number of words if a particularly difficult/technical issue is identified on which a further submission is required. Yes Do you agree with the circumstances in which

**Q8** 

Yes

(subject to

further comment)

No

an application may be varied in the case of an

appeal? If not, why not?

Consultation Reference: WG28856



### Comments:

The HBF object strongly to this proposal as it will result in the loss of an important part of the current appeal process. We believe this is an area of the current appeal system that doesn't causes particular delay, so such a change is not critical to the speeding up the appeal process which we support and is achieved by other proposed changes within this consultation.

The principle of allowing additional information to be submitted during an appeal is demonstrated by the "Wheatcroft Principle" (Weatcroft v SoS) this allows new information to be submitted to applications or appeals or indeed Development Consent Orders (see below) subject to ensuring that the scheme is not substantially different from that which was applied for.

Within the DCO process the Inspectorate allow changes and new information to be presented to Inquiries, see

https://infrastructure.planninginspectorate.gov.uk/wp-content/uploads/2015/07/Advice-note-16.pdf

within this the merits and benefits of having some flexibility to allow matters to be addressed to narrow areas of dispute are recognised (see paragraphs 1.2 and 1.3).

HBF members have advised that within the current appeal process they often have ongoing discussions as part of the SoCG process, specifically encouraged by PINs, whereby minor pieces of new information are provided to the LPA to resolve matters and are then incorporated into the appeal. This often result in less issues having to be considered by the Inspector and reduces the time spent at inquiry or on the appeal, thereby speeding up of the appeal process.

More major changes can also be introduce to the appeal through submission of a second planning application, this allows any changes to be considered by all parties who will have a right to make comment on them as part of the normal planning application process. This second application can often result in a reduction in the number of reasons for refusal (matters for the inspector to consider) and the inspector can be comfortable that they have been openly consulted on. It is still down to the discretion of the Inspector as to whether or not he accepts these changes and considers them in reaching his decision. Although the use of a second application is outside of the appeal process there still needs to be the flexibility within the appeal system to allow for changes to be made to the appeal resulting from the second application.

The HBF consider that such an approach is unlikely to result in the appeal taking any longer to determine in fact the opposite. Due to the time it normally takes between the appeal being registered and an inquiry date being set, there is enough time for the second planning application to be consulted on and considered by the LPA even if a decision is not reached. Again it is at the discretion of the Inspector as to whether or not he accepts these changes and considers them in reaching his decision. Members advise that such an approach has been used a number of times and the Inspectors have not seen this as a breach of the Wheatcroft Principle or prejudicial to any third party.

# Consultation Reference: WG28856 Yes Do you agree with the circumstances in which Yes (subject to No Q9 an application may be varied in the case of an further appeal? If not, why not? comment) $\boxtimes$ Comments: Although the HBF have no objections to the two circumstances listed in the consultation we consider that other changes could be allowed to be made without affecting the substance of the appeal or prejudicing any of the parties. We would accordingly suggest a third circumstance to allow the flexibility that if a change is agreed between the appellant and the LPA, that this should also be allowed to be included in the appeal. Negotiating and agreeing away 'lesser' reasons for refusal should be encouraged especially when in an Inquiry situation. From Members experience this is always favoured by the appointed Inspector and by the local planning authority. For an inquiry it ultimately results in reducing Inquiry time.. Yes Do you agree with our proposals to introduce a (subject to Yes No 6 month time limit for certificate of lawfulness Q10 further appeals against a decision by a LPA? If not, comment) why not? Comments: No comment.

Appeals, cost and standard daily amounts

Consultation Reference: WG28856

## 3.0 Costs

Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No
		$\boxtimes$		
	ments:			
NO CO	omment.			
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not,	Yes	Yes (subject to further comment)	No
	please specify alternative or additional grounds.			
Comr	nents:			

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No
	nents: omment.			

Consultation Reference: WG28856

Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No
	ments: omment.			

## 4.0 Standard Daily Amounts of Certain Proceedings

Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further comment)	No
	nents:			
No co	omment.			

Q16	Do you agree with the proposed standard daily amounts at Annex D? If not, why not?	Yes	Yes (subject to further comment)	No		
Comr	Comments:					
Additional comments not coverd by the questions above.						

Consultation Reference: WG28856

cheditation (Corondo: VV C20000
HBF supports the 7 day validation period (as shown in the flow diagram in annex
A) from the appeal submission but suggests that this is mandatory as with LPA validation requirement.
validation requirement.
The flow diagram in annex A it finishes with a decision or a recommendation to
the Welsh Ministers. In relation to the latter this is currently an undefined
timescale and this has significant impact in terms of "providing certainty for

developers" (as stated in the intensions for this consultation). We would urge a timescale for Welsh Ministers to make a decision following the issue of an Inspectors recommendation also be stipulated.

I do not want my name/or address published with my response (please tick)
---

### **How to Respond**

Please submit your comments in any of the following ways:

# **Email** Please complete the consultation form and send it to: planconsultations-g@wales.gsi.gov.uk [Please include 'Appeals, costs and standard daily amounts' in the subject line] **Post** Please complete the consultation form and send it to: Appeals, costs and standard daily amounts consultation **Decisions Branch Planning Division Welsh Government Cathays Park** Cardiff **CF10 3NQ Additional information**

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)

### FLINTSHIRE COUNTY COUNCIL

REPORT TO: PLANNING STRATEGY GROUP

DATE: THURSDAY, 3 NOVEMBER 2016

REPORT BY: CHIEF OFFICER (PLANNING AND ENVIRONMENT)

SUBJECT: APPEALS, COSTS AND STANDARD DAILY AMOUNTS

### 1.00 PURPOSE OF REPORT

To advise members of the current Welsh Government Consultation Document regarding appeals, costs and standard daily amounts. The report highlights implications regarding procedural changes and cost increases.

### 2.00 BACKGROUND

Appeals and development plan examinations form an important part of the planning system. The Planning Inspectorate Wales (PINS) is a publically funded joint executive agency. Planning appeals and applications which are referred for determination by the Welsh Ministers (call-ins) are administered by PINS on behalf of the Welsh Ministers, with the majority of cases decided by an Inspector. A small number of cases are recovered by Welsh Ministers for their decision. The current arrangements allow public involvement and a high standard of decision-making based on the principles of openness, fairness and impartiality.

In December 2013, the Welsh Government published a 'Positive Planning' consultation paper, which contained a series of proposals for reforms to the planning system in Wales.

The following changes have already taken place;

- Welsh Ministers or an appointed person are able to determine the method by which an appeal is to be conducted.
- Applications to be referred back to the LPA in the case of appeals against non-determination of applications, within a specified time period.
- Removal of time limit restricting the right of appeal on the grounds of non-determination.
- Introduction of an expedited process for determining householder and commercial appeals.
- Expediting the appeal procedure for specialist appeals.

There is pressure from professionals and developers seeking swifter decisions to improve the system to provide necessary sustainable development and stimulate economic growth.

### 3.00 CONSIDERATIONS

The proposals contained within the consultation document cover the following areas;

### Appeal and call-in procedures

- Requirement the submission of a full statement of case to be submitted by appellant at the outset of all planning and enforcement appeals;
- Require earlier stage of submission of responses by LPA's and third parties;
- Changes to how statements of common ground are handled;
- 6 month time cut off after applications, including certificates of lawfulness are determined;
- Unlimited amount of time for appellant to compile a full statement of case to supplement their appeal in relation to appeals for non-determination;
- Enforcement appeals must be submitted prior to the effective date of an enforcement notice(usually 28 days);
- Appeals against notice must be submitted with a statement specifying the grounds on which the appeal is being brought and stating the facts on which the appellant proposes to rely in support of each of those grounds;
- Ground A (consent ought of have been granted) enforcement appeals the appeal will not be valid until the LPA has received the fee in full;
- Applicant will have a 4 week opportunity to submit a full statement of case to PINS following the issue of a call-in notice of referral. If this is not submitted it will not delay the process. The LPA will also have to submit all documentation in this period.
- LPA's will be required to submit a complete questionnaire and inform the interested parties about the appeal within 5 working days of the starting date. This is currently 14 days.
- LPA's and interested parties must submit representations within 4 weeks of the starting date of the appeal. This is currently 6 weeks.
- LPA's, interested parties and the appellant must submit final comments within 6 weeks of the starting date of the appeal. This is currently 9 weeks.

### Costs

- Ability to apply for an award of costs where appeals are considered on the basis of written representations;
- Applications must be made by appellants upon notice or the appeal or within 4 weeks or call-in. By LPAs or 3<sup>rd</sup> parties

- within 4 weeks of the start date of proceedings;
- Inspectors can initiate an awards of costs even if no parties applied;
- Extending the costs regime to appeals, call-ins and applications made directly to the Welsh Ministers (poorly performing LPAs) which are determined by way of written representation;
- Update costs guidance; and
- Enable cost recovery incurred by the Welsh Ministers, where wasted and unnecessary cost to the public purse is incurred.

### Standard daily amounts for certain proceedings

- Update to the current daily amounts which were set in 2012;
   and
- The Inspectorate will charge general staff costs separately to more accurately reflect the variable time taken by officers when dealing with examinations and inquiries.

The consultation document set a number of questions which are addressed in turn below;

# Q1: Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?

We agree with the proposals and consider that the LPA and all third parties will benefit from the earliest disclosure of the appellants case. A single consolidated set of regulations will assist all parties in correctly administering the appeal process, further reducing delays.

# Q2: Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?

The definition of a written statement is set out as follows 'which contains full particulars of the case and all the matters which a person proposes to raise and copies of any documents, materials and evidence they intend to rely on in evidence'. This accurately captures the basis of what the appellant should be setting out as this stage. We acknowledge these statements do not replace proof of evidences in relation to public inquiries.

In order for the developer, LPA and third parties to truly benefit from a speedier appeal process the Inspector must be robust in enforcing the principle that no further matters cannot be raised nor any further documents, materials or evidence which would undermine the original statement accepted by the Inspectorate as the appeal process progresses. Are PINS certain and confident that this approach can be lawfully implemented?

Q3: Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the procedure for and make decisions on appeals against hazardous substances contravention notices and appeals against notices requiring the proper maintenance of land? If not, why not?

We agree with the principal to align the current three sets of regulations which define the 'relevant date'. The proposed single definition will be the date on which the full information required to entertain the appeal or call-in together with any fee required to be paid is received.

We also agree that the procedure for determining appeals against a S215 noitce of the Town and Country Planning Act is appropriately transferred to the Welsh Ministers from the Magistrates.

# Q4: Do you agree with our proposals relating to changes to intitial procedure and submission of core documents by parties? If not, why not?

The proposals relating to changes to the initial procedures by reducing the time allowed to submit the questionnaire, submit representations and then final comments will put further pressure on LPA's and third parties to quickly respond. By taking weeks out of the stages of the process it will speed up the submission of documents. However, LPA's are increasing poorly resourced and this leads to a lack of planners and support staff who are about to meet the demands. Although the submission of documents may be swifter there may be a reduction in quality and robustness. PINS may experience an increased burden chasing matters such as non-submission of relevant policies or Directions.

In order for the developer, LPA and third parties to truly benefit from a quicker appeal process PINS must be resourced with sufficient administration staff and Inspectors to maintain the expedited system.

# Q5: Do you agree with our proposal regarding Statements of Common Ground (SoGG)? If no, why not?

We agree that the principle of not setting a statutory deadline for the submission of a SoGG for the purposes of appeals and called-in applications. We support that it will be encouraged to submit a SoGG where all parties agree, even in relation to written representation and appeals dealt with by Hearing.

# Q6: Do you agree with our proposal for the mixed-mode examination of appeals and call-ins? If not, why not?

We have some concerns regarding the mixed mode examination of appeals and call-ins.

We totally support the principle that the default procedure for all appeals and called in applications is written representations. We have most serious concerns regarding the criteria set out in Annex B of the consultation document does not align the proposals set out in part 3 of the consultation document. The criteria indicates that if there is an application for costs the appeal could not be appropriately dealt with by written representations. We consider that many applications for costs are very straight forward and could easily be dealt with by written representation. In England the process has worked successfully for some time and with clear and amended guidance we do not understand why PINS Wales would not consider the same approach? This would truly deliver a speeded up appeal process by ensuring straightforward appeals do not unnecessarily become examined through a costly, lengthy hearing.

With regards to Mixed Mode Examination we consider the Inspector already has sufficient provision within the Hearings and Inquiries procedures to define the relevant parts of the appeal which will be examined orally through either procedure. We have concerned that there will be extra administration costs and possible delays dual to increased administration of dealing with a mixed process. Although we acknowledge that there may be certain central stages, such as same relevant date, same start date, same questionnaire deadline it may be difficult to advise interested parties of the two separates routes the appeal on the same site may be taking.

We consider the mixed mode process may lend itself to Nationally Significantly development which is more acceptably categorised by interested parties due to its scale.

Q7: Do you agree that further representations requested by the Inspector as part of the examination of an appeal or call-in should be subject to a word-limit of 3,000 words per topic? If not, why not?

We agree the limit of 3,000 words per topic is acceptable.

# Q8: Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?

We agree with the circumstances in which the application may be varied, in relation to matters which could not have been previously raised and a consequence of exceptional circumstances.

Q9: Do you agree with the circumstances in which an application may be varied in the case of an appeal or call-in? If not, why not?

As above.

Q10: Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?

We agree with the introduction of the 6 month time limit.

# Q11: Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?

We agree with this provision to assist in the avoidance the submission of spurious appeals.

Q12: Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional grounds.

We agree with this guidance and consider it is comprehensive and helpful for all parties.

# Q13: Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?

We agree with the process set out in Annex C for the award of costs and consider that any application for costs should be submitted with the written statement with regard to a written representation appeal. We accept the Hearings and Inquiries procedures may retain provision to allow a later application of costs after evidence has been examined but this should be the exception, not the rule.

# Q14: Should any additional information be included within the draft updated guidance (at Annex C)?

We do not consider that any further guidance should be added.

# Q15: Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?

We agree with the principle that Inspector, Planning Officer and general staff costs are charged separately and accurately. We also agree that the same standard daily amount for Inspectors will apply to both qualifying procedures and local inquiries.

# Q16: Do you agree with the proposed standard daily amounts at Annex D? If not, why not?

We agree with the increased standard daily amounts as set out in annex D of the consultation document.

### 4.00 RECOMMENDATIONS

That members note the contents of this report and agree the Council's response to the consultation.

### 5.00 FINANCIAL IMPLICATIONS

No direct implications. However, some of the changes in procedures may result in less officer time spent in more lengthy and therefore costly hearing or inquiry processes.

### 6.00 ANTI POVERTY IMPACT

None

### 7.00 ENVIRONMENTAL IMPACT

An improved appeal process will help the Council deliver the sustainable objectives of the Unitary Development Plan and Planning Policy Wales.

### 8.00 EQUALITIES IMPACT

The Welsh Inspectorate offer a fully accessible Welsh Language service.

### 9.00 PERSONNEL IMPLICATIONS

None.

### 10.00 CONSULTATION REQUIRED

None.

### 11.00 CONSULTATION UNDERTAKEN

The report to Planning Strategy Group.

### 12.00 APPENDICES

None.

# LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985 BACKGROUND DOCUMENTS

Contact Officer: Mandy Lewis Telephone: 01352 703248

Email: mandy.lewis@flintshire.gov.uk

	Appeals, costs and standard daily amounts					
Date of c	onsultation period: 10 August 2016 – 4 November 2016					
Name	NICOLA PEARCE					
Organisation	NEATH PORT TALBOT CBC					
Address	THE QUAYS, BRUNEL WAY, NEATH SA11 2GG					
E-mail address	n.pearce@npt.gov.uk					
Type (please select	Businesses/Planning Consultants					
one from the following)	Local Planning Authority	$\boxtimes$				
	Government Agency/Other Public Sector					
	Professional Bodies/Interest Groups					
	Voluntary sector (community groups, volunteers, self help groups, co-operatives, social enterprises, religious, and not for profit organisations)					
	Other (other groups not listed above) or individual					

### 2.0 Appeal and call-in procedures

Q1	Do you agree with our procedural proposals regarding full statements of case to be submitted with an appeal in most circumstances? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

In practice for LPAs this is a good idea and is supported. It is considered, however, that clarity may be required in respect of those cases to be heard at Inquiry where 'written statements of evidence' (WSoE) will be produced. In such cases, it is assumed that WSoE from all parties would still be required in advance of the PLI rather than at submission stage. The critical point is to ensure that an appellant 's Statement of Case (e.g. a Rule 6 / 8 statement currently) is sufficiently detailed to confirm the nature of "all evidence they intend to rely on" in their WSoE so that there are no surprises.

It is also noted that, in those cases where PINS were to consider a request for a PLI to be unnecessary, an appellant may choose to submit significantly more 'evidence' at the start, than if they believed they could submit a WSoE later on in the prcess - this needs clarification.

Also, in the event an Inquiry is required by PINS (but not sought by appellants - for example an enforcement appeal), there is a need to address at what stage such 'evidence' should be provided if it is not part of their original submissions.

Q2	Do you agree with the definition of 'full statement of case' in paragraph 2.10? If not, why not?	Yes	Yes (subject to further comment)	No

### Comments:

It is considered that the definition is sound, but as referred to above, clarity may be required in respect of differences (if they are intended) between appeals heard at Inquiry c.f. all other methods.

### Consultation Reference: WG28856 Do you agree with our proposals to enable the Welsh Ministers (or PINS) to determine the Yes procedure for and make decisions on appeals Yes (subject to No **Q3** against hazardous substances contravention further notices and appeals against notices requiring comment) the proper maintenance of land? If not, why $\square$ Comments:

Appeals, cost and standard daily amounts

Q4	Do you agree with our proposals relating to changes to initial procedure and submission of core documents by parties? If not, why not?	Yes	Yes (subject to further comment)	No

### Comments:

Although the paper states that "LPAs routinely complete the questionnaire and inform interested parties well inside the two week timeframe" there is concern that imposing a strict 5 working days for questionnaire responses could place an unreasonable burden on LPAs who are already stretched resource-wise (in NPT Officers undertake all their own appeal admin work rather than have a dedicated support officer).

If the appellants have already submitted their Statement of Case (SoC), it also seems that the only benefit of submitting a questionnaire earlier is for PINS to inform their choice of appeal method. It is respectfully considered, however, that the appellants SoC should already include sufficient detail to make such an inferred decision and, if not, initial contact should be made with the LPA at the earliest opportunity requiring our views on the method.

Again, the 4 weeks for LPA statements and 3<sup>rd</sup> party reps could create some problems BUT we accept that in the interests of streamlining the appeals process, and given that the appellants SoC will be provided, the reduced period

Consultation Reference: WG28856					
would strike an approriate balance. HOWEVER, some caution is offered in respect of non-determination appeals, which inevitably require a 'stance' report to be produced first, and occasionally with the need to report to committee, which could mean the 4 week deadline is difficult to achieve.					
Q5	Do you agree with our proposals regarding Statements of Common Ground? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	nents:				
Q6	Do you agree with our proposals for the mixed-mode examination of appeals and call-ins? If not, why not?	Yes	Yes (subject to further comment)	No	
Comr	ments:				

			T	1
	Do you agree that further representations			
Q7	requested by the Inspector as part of the	Yes	Yes	No
	examination of an appeal or call-in should be		(subject to	

Consulta	ation Reference: WG28856					
	subject to a word-limit of 3,000 words per topic? If not, why not?		further			
	topio: ii not, why not:		comment)	П		
Comn	nents:		<u> </u>			
provided some flexibility is offered on request for especially complex matters/topics						
Q8	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No		
Comin	nents:					
Q9	Do you agree with the circumstances in which an application may be varied in the case of an appeal? If not, why not?	Yes	Yes (subject to further comment)	No		
	nents: xt above incorrect -					

Q10	Do you agree with our proposals to introduce a 6 month time limit for certificate of lawfulness appeals against a decision by a LPA? If not, why not?	Yes	Yes (subject to further comment)	No		
Comn	nents:					
3.0	Costs					
Q11	Do you agree that Welsh Ministers should be able to recover their own costs? If not, why not?	Yes	Yes (subject to further comment)	No		
			×			
Comr	nents:	_				
behav Howe very an LP	dified 'yes': it is accepted that there are occasion will result in unnecessary or wasted expersor, it is also the case that LPAs are also publicity financial pressures. It is therefore consinated to include PINS costs shoud only be made in d not always follow from an award of costs to a superior of the costs to be a superior of the c	ense to	the public purse s who operate u nat an award ag onal cases, and	e. Inder		
Q12	Do you agree with the grounds for unreasonable behaviour specified within the draft updated guidance (at Annex C)? If not, please specify alternative or additional	Yes	Yes (subject to further comment)	No		
	grounds.					
Comn	nents:					
prece since	para 2.5 refers to "no reasonable prospect of succeeding" in the paragraph preceding potential unreasonable behaviour. This is not considered appropriate, since "no reasonable prospect of success" should consitute a ground in itself (as it currently does), with the bullet points underneath capable of beign consdiered					

Consultation Reference: WG28856

separately as unreasonable bahaviour unrlated to 'no prospct' cases. as written it is considered that successful costs on substantive grounds would be very difficult to prove, to the detrient of the appeals costs process.

There is considerable concern that "Failure to determine an application within the statutory time limits, where it is clear that there was no substantive reason to justify delaying the determination of the application" should constitute a ground for an award of costs. Similarly for cases "where an enforcement appeal could have been avoided due to inadequate investigation or insufficient communication on the part of the local planning authority". LPAs do not purposely 'delay' applications, and it is considered that this could be counterproductive inactually encouraging appeals.

It is not considered that "requiring the appellant or applicant to enter into a planning obligation which does not accord with the law or relevant policy" should in itself be a ground for unreasonable behaviour, provided the LPA has sought to justify the reasons for making such a request (even if they are unsuccessful in convincing an Inspector).

agsin, "refusing permission on a ground being capable of being dealt with by way of condition, where it is concluded that suitable conditions would enable the development to proceed" is not considered in itself to constitute unreasonable behaviour. Only in blatant cases where an LPA has refused to impose such a condition on request - without reasons - should it be a ground for costs.

note: Duplication of reference to conditions 'tests' in bullets 2 and 13 (conditions)

Q13	Do you agree with the process for the awards of costs set out in Appendix A of the draft updated guidance (at Annex C)? If not, why not?	Yes	Yes (subject to further comment)	No

#### Comments:

Costs awards initiated by PINS and 'for' PINS are to be heard by WG, but will the same process apply to decisions by Inspectors which also suggest unreasonable behaviour justifying PINS costs? would this mean that awards of PINS costs against LPAs would be less likely.

Consult	ation Reference: WG28856							
Q14	Should any additional information be included within the draft updated guidance (at Annex C)?	Yes	Yes (subject to further comment)	No				
				$\boxtimes$				
Comr	ments:							
4.0	4.0 Standard Daily Amounts of Certain Proceedings							
Q15	Do you agree with the amended method for charging daily amounts for local inquiries and qualifying procedures? If not, why not?	Yes	Yes (subject to further	No				
			comment)					
The r	ments: need for certainty of charges is essential, as is incurred are at all times reasonable and fairly		d to ensure tha					
The r	ments: need for certainty of charges is essential, as is incurred are at all times reasonable and fairly		d to ensure tha					
The r costs quest	ments: need for certainty of charges is essential, as is incurred are at all times reasonable and fairly tion.		Yes (subject to					
The r	ments: need for certainty of charges is essential, as is incurred are at all times reasonable and fairly	related	Yes (subject to further comment)	ling in				
The r costs quest	ments: need for certainty of charges is essential, as is incurred are at all times reasonable and fairly tion.  Do you agree with the proposed standard daily	related	Yes (subject to further	ling in				

# Appeals, cost and standard daily amounts Consultation Reference: WG28856 I do not want my name/or address published with my response (please tick) **How to Respond** Please submit your comments in any of the following ways: **Email** Please complete the consultation form and send it to: planconsultations-g@wales.gsi.gov.uk [Please include 'Appeals, costs and standard daily amounts' in the subject line] **Post** Please complete the consultation form and send it to: Appeals, costs and standard daily amounts consultation **Decisions Branch Planning Division Welsh Government Cathays Park** Cardiff **CF10 3NQ**

### Additional information

If you have any queries on this consultation, please

Email: planconsultations-g@wales.gsi.gov.uk

Telephone: Lewis Thomas (029 2082 3201) or Owain Williams (029 2082 1715)